

# Quantum field theory in curved spacetimes: What, why and how?

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27 July 2020, Three W's Seminar, KIAS



# Outline

## Why?

Motivations

## What?

Quantum field theory

Quantum field theory in curved spacetimes

## How?

Examples

Mathematical structure

## Final remarks

# Motivations

## Physical motivations:

- ▶ Gravity exists! we are not really in Minkowski spacetime.
- ▶ We do not have a working theory of quantum gravity.
- ▶ Important effects and predictions.
- ▶ Curved spacetimes and spontaneous particle creation:
  - ▶ Cosmology.
  - ▶ Black hole radiation.
- ▶ Flat spacetimes and spontaneous particle creation:
  - ▶ Unruh effect.
  - ▶ Casimir effect.
- ▶ What is a particle?

## Mathematical motivations:

- ▶ What is the mathematical structure of quantum field theory?
- ▶ Are spacetime isometries and Hamiltonians necessary for defining QFT?
- ▶ What are states and observables in curved spacetimes?

# QFT in flat spacetime

Minkowski:  $(\mathbb{R}^4, \eta)$ ,  $\eta = -dt^2 + dx^2 + dy^2 + dz^2$ .

The theory consists of

- ▶ A Hilbert space (usually many particle Fock space),  $\mathcal{H}$ .
- ▶ Unitary operators  $\Lambda(\alpha, L)$  representing Poincaré transformations (Minkowski isometries) (+ certain spectral conditions).
- ▶ A distinguished Poincaré-invariant state  $\Omega \in \mathcal{H}$  – the vacuum.
- ▶ Observables  $O$  localised in Minkowski spacetime generated by quantum fields.
- ▶  $O$  transform covariantly under Poincaré, e.g.  
 $O(\lambda x) = \Lambda(\alpha, L)^{-1} O(x) \Lambda(\alpha, L)$ .
- ▶ (Anti-)commutation relations  $[O_1, O_2] \neq 0$ .
- ▶ Some PDE – the field equation (KG, Dirac, Maxwell, YM...).

# QFT in flat spacetimes

## The Klein-Gordon field

We heuristically know that:

- ▶ Fock space:  $\mathcal{H} = \mathbb{C} \otimes_{\text{sym}} \bigoplus_{n=1}^{\infty} L^2(\mathbb{R}^3)^{\otimes_{\text{sym}} n}$
- ▶ Minkowski vacuum:  $\Omega = (1, 0, 0, \dots)$ .
- ▶ Creation and annihilation operators,  $\hat{a}_k^*, \hat{a}_k$ :

$$[\hat{a}_k, \hat{a}_p] = 0 \quad [\hat{a}_k^*, \hat{a}_p^*] = 0 \quad [\hat{a}_k, \hat{a}_p^*] = i\delta(k, p)\hat{\mathbb{1}}$$

such that  $\hat{a}_k\Omega = 0$ .

- ▶ KG field obeying  $(\square - m^2)\hat{\Phi} = (-\partial_t^2 + \Delta - m^2)\hat{\Phi} = 0$ ,

$$\hat{\Phi}(t, \mathbf{x}) = \int_{\mathbb{R}^3} \frac{d^3k}{(2\omega_k)^{1/2}} \left( e^{-i\omega_k t} \psi_k(\mathbf{x}) \hat{a}_k + e^{i\omega_k t} \overline{\psi_k(\mathbf{x})} \hat{a}_k^* \right).$$

with  $\omega_k^2 = k \cdot k + m^2$ ,  $\psi_k(\mathbf{x}) = (2\pi)^{-3/2} e^{i\mathbf{k} \cdot \mathbf{x}}$  eigenvalues and generalised eigenfunctions of  $-\Delta + m^2$ .

## QFT in curved spacetimes

- ▶ Suppose one attempts the previous construction in general, globally hyperbolic  $(M, g)$ , such that **curvature of  $g$  is non-trivial?**

In **General relativity** the curvature of  $g$  is **gravity**, i.e.,

$$R_{ab} - \frac{1}{2}Rg_{ab} + \Lambda g_{ab} = \frac{8\pi G_N}{c^4} T_{ab}, \quad (1)$$

Matter field equation. (2)

- ▶  $R_{ab}$  and  $R$ : Ricci tensor and scalars, resp.
- ▶ Constants:  $\Lambda$ ,  $G_N$ ,  $c$ .
- ▶ Stress-energy tensor of matter:  $T_{ab}$ .
- ▶ Field equation, e.g. KG:

$$(\square - m^2 + \xi R)\Phi = (g^{ab}\nabla_a\nabla_b - m^2 + \xi R)\Phi = 0.$$

# QFT in curved spacetimes

## Example: KG in ultrastatic spacetime

Assume spacetime is ultrastatic:  $g = dt^2 + h$ .

- ▶ Fock space:  $\mathcal{H} = \mathbb{C} \otimes_{\text{sym}} \bigoplus_{n=1}^{\infty} L^2(\Sigma, \text{dvol}_h)^{\otimes_{\text{sym}} n}$
- ▶ Distinguished vacuum:  $\Omega = (1, 0, 0, \dots)$ .
- ▶ Creation and annihilation operators,  $\hat{a}_j^*, \hat{a}_j$ :

$$[\hat{a}_m, \hat{a}_n] = 0 \quad [\hat{a}_m^*, \hat{a}_n^*] = 0 \quad [\hat{a}_m, \hat{a}_n^*] = i\delta_h(m, n)\hat{\mathbb{1}}$$

such that  $\hat{a}_m\Omega = 0$ .

- ▶ KG field obeying  $(\square - m^2)\hat{\Phi} = (-\partial_t^2 + \Delta_h - m^2)\hat{\Phi} = 0$ ,

$$\hat{\Phi}(t, x) = \int_{\sigma} \frac{d\mu(j)}{(2\omega_j)^{1/2}} \left( e^{-i\omega_j t} \psi_j(x) \hat{a}_j + e^{i\omega_j t} \overline{\psi_j(x)} \hat{a}_j^* \right).$$

with  $(-\Delta_h + m^2)\psi_j = \omega_j^2 \psi_j$ .

## QFT in curved spacetimes

- ▶ In previous case there exists distinguished notion of time, generated by global timelike KVF,  $\partial_t$ .
- ▶ Not every spacetime has timelike KVF.
- ▶ Assume a spacetime has asymptotic KVF,  $v$  in the remote future and  $w$  in the remote past.

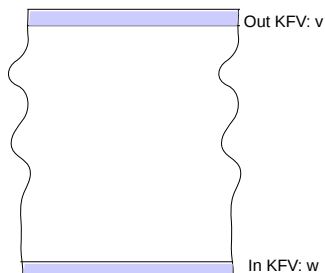


Figure: Spacetime where QFT admits in and out construction.



## QFT in curved spacetimes

- ▶ Two distinguished constructions:  $\mathcal{H}_{\text{in}}$  and  $\mathcal{H}_{\text{out}}$ .
- ▶  $\Phi$  admits representation as op. in  $\mathcal{H}_{\text{in}}$  and as op. in  $\mathcal{H}_{\text{out}}$ , namely

$$\hat{\Phi}_{\text{in}}(t, \mathbf{x}) = \int \frac{d\mu_{\text{in}}(i)}{(2\omega_{\text{in},i})^{1/2}} \left( e^{-i\omega_{\text{in},i}t_1} \psi_{\text{in},i}(\mathbf{x}) \hat{a}_i + e^{i\omega_{\text{in},i}t_1} \overline{\psi_{\text{in},i}(\mathbf{x})} \hat{a}_i^* \right),$$

$$\hat{\Phi}_{\text{out}}(t, \mathbf{x}) = \int \frac{d\mu_{\text{out}}(j)}{(2\omega_{\text{out},j})^{1/2}} \left( e^{-i\omega_{\text{out},j}t_2} \psi_{\text{out},j}(\mathbf{x}) \hat{b}_j + e^{i\omega_{\text{out},j}t_2} \overline{\psi_{\text{out},j}(\mathbf{x})} \hat{b}_j^* \right)$$

- ▶ Hamiltonians:  $\hat{H}_{\text{in}} = \int d\mu_{\text{in},i} \omega_{\text{in},i} \hat{a}_i^* \hat{a}_i$ ,  $\hat{H}_{\text{out}} = \int d\mu_{\text{out},j} \omega_{\text{out},j} \hat{b}_j^* \hat{b}_j$ .
- ▶ Formally  $\hat{b}_j = \int d\mu_{\text{in}}(i) (\alpha_{ij} \hat{a}_i + \beta_{ij} \hat{a}_i^*)$ .
- ▶  $\langle \Omega_{\text{in}} | \hat{H}_{\text{in}} \Omega_{\text{in}} \rangle = 0 = \langle \Omega_{\text{out}} | \hat{H}_{\text{out}} \Omega_{\text{out}} \rangle$ , but

$$\begin{aligned} \langle \Omega_{\text{in}} | \hat{H}_{\text{out}} \Omega_{\text{in}} \rangle &= \int d\mu_{\text{out}}(j) \omega_{\text{out},j} \langle \Omega_{\text{in}} | \hat{b}_j^* \hat{b}_j | \Omega_{\text{in}} \rangle \\ &= \int d\mu_{\text{out}}(j) \omega_{\text{out},j} \int d\mu_{\text{in}}(i) |\beta_{ij}|^2 \neq 0 \Rightarrow \Omega_{\text{in}} \text{ not vacuum in } \mathcal{H}_{\text{out}}! \end{aligned}$$

# QFT in curved spacetimes

Previous discussion implies that **spacetime curvature produces spontaneous particle creation!**

Question: In previous example, is the vacuum state empty or not? Who is right? Answer: Everyone is right! **Relativity's lesson: space and time notions are observer-dependent. QFT in CS shows that the notion of particle is observer-dependent too!**

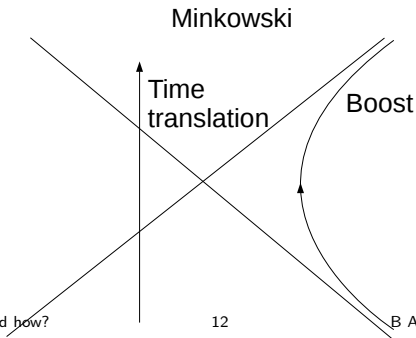
- ▶ Relevant e.g. in **cosmology**.
- ▶ In black holes **Hawking radiation** is understood similarly, but the situation is **even more interesting** when considering backreaction.
- ▶ Emphasis: QFT is a theory of **fields** not of **particles**. The quantum field is the **fundamental** object; particles (like beauty) are in the **eye of the beholder**.

## Back to flat spacetime

- ▶ In general curved spacetimes the notion of particle is ambiguous.
- ▶ In absence of symmetries, there is no **distinguished** notion of particle (but fields and correlation functions are unambiguously defined).
- ▶ In fact, in Minkowski spacetime there are also different notions of particles.
- ▶ The **Unruh effect** shows that for a linearly uniformly accelerated observer in Minkowski spacetime **the Minkowski vacuum looks like a thermal state at temperature proportional to their acceleration.**

# Unruh effect

- ▶ Consider Minkowski spacetime and divide in four so-called Rindler wedges.
- ▶ On right Rindler wedge **Lorentz boosts** are timelike KVF,  $\xi = a(x\partial_t + t\partial_x)$ ,  $a > 0$ .
- ▶ Quantisation w.r.t. time-notion generated by  $\xi$ : **Fulling-Rindler vacuum**,  $\Omega_{\text{FR}}$ .
- ▶ Minkowski vacuum looks **thermal** in FR representation.

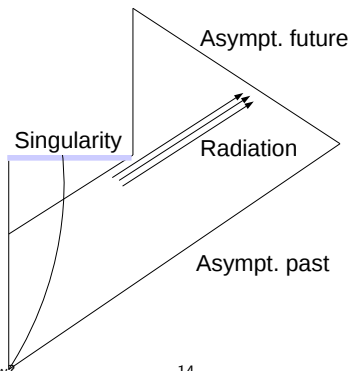


# Unruh effect

- ▶ A linearly uniformly accelerated observer with acceleration  $a$ , perceives the Minkowski vacuum at a temperature  $T_U = \frac{\hbar a}{2\pi c k_B}$  – the **Unruh temperature**.
- ▶ ¡  $T_U$  is **very cold**! E.g.,  $10^{20} m/s$ ,  $T_U \sim 1K$ .
- ▶ **Detecting the Unruh temperature is a massive experimental challenge.** (Detection  $\Rightarrow$  Nobel prize.)
- ▶ In Minkowski spacetime the notion of particle is also observer-dependent!
- ▶ More generally, an observer following an **arbitrary trajectory**, will generally too **detect particles** when interacting with a field in the Minkowski vacuum, although not in a thermal distribution. This can be understood in terms of so-called particle detectors in QFT in CS.

# Evaporation of black holes

- ▶ The gravitational effects during black hole formation produce quantum radiation.
- ▶ Radiation makes black holes lose mass.  $M$  decreases.
- ▶ Radiation is thermal at  $T_H = \frac{\hbar c^3}{8\pi G_N k_B M}$ .
- ▶ We expect black holes to theoretically radiate off all of their mass and disappear.
- ▶ Information loss puzzle.



# Mathematical structure of QFT

In the absence of distinguished representations for the fields and vacua, what is really QFT?

**Example: KG theory in CS**

Let  $(M, g)$  be a globally hyperbolic spacetime (iff admits a Cauchy surface). We call  $\mathcal{A}(M)$  the Klein-Gordon field algebra the unital  $*$ -algebra generated by *smearred fields*  $\Phi(f)$  with  $f \in C_0^\infty(M)$  subject to the relations

1. Linearity:  $f \mapsto \Phi(f)$  is linear,
2. Hermiticity:  $\Phi(\bar{f}) = \Phi(f)^*$ ,
3. Field equation:  $\Phi((\square - m^2 - \xi R)f) = 0$ ,
4. Commutation relations:  $[\Phi(f), \Phi(g)] = -iE(f, g)\mathbb{1}$ ,

where  $E = E^+ - E^-$  causal propagator of the Klein-Gordon operator  $(\square - m^2 - \xi R)$ .

# Mathematical structure of QFT

## What is a state for KG theory in CS?

We call a linear map  $\omega : \mathcal{A}(M) \rightarrow \mathbb{C}$  an algebraic state if

1.  $\omega(\mathbb{1}) = 1$
2.  $\omega(a^*a) \geq 0$  for all  $a \in \mathcal{A}(M)$ .

$\omega$  prescribes correlation functions, but notice that we have committed to **no Hilbert space representation**. E.g. the Wightman two-point function of the theory is

$$\omega(\Phi(f)\Phi(g)) = G^+(f, g). \quad (3)$$

- ▶ If  $\omega$  is quasi-free, all  $n$ -point functions follow from Wightman function.
- ▶ Renormalisation requires **Hadamard property**.

$$G^+(x, y) - \left[ \frac{1}{2\pi^2} \frac{\Delta^{1/2}(x, y)}{\sigma_\epsilon(x, y)} + V(x, y) \ln(\sigma_\epsilon(x, y)) \right] \in C^\infty(M \times M)$$



# Mathematical structure of QFT

## How to recover Hilbert space representation?

The GNS construction theorem allows one to obtain a Hilbert space representation, such that

- ▶ Input: algebra  $\mathcal{A}(M)$  and state  $\omega : \mathcal{A}(M) \rightarrow \mathbb{C}$ .
- ▶ Output: GNS representation  $(\mathcal{H}_\omega, \pi_\omega, \mathcal{D}_\omega, \Omega_\omega)$

Such that  $\pi_\omega$  is faithful,  $\mathcal{D}_\omega \subset \mathcal{H}_\omega$  is dense,  $\Omega_\omega$  is cyclic (the “vacuum” state) and

$$\omega(A) = \langle \Omega_\omega | \pi_\omega(A) \Omega_\omega \rangle. \quad (4)$$

In particular,  $\pi(\Phi(f)) = \hat{\Phi}(f)$  is an operator-valued distribution in Hilbert space – our textbook quantum field and

$$\omega(\Phi(f)\Phi(g)) = \langle \Omega_\omega | \hat{\Phi}(f)\hat{\Phi}(g)\Omega_\omega \rangle \quad (5)$$

## Final remarks

- ▶ QFT is a theory of **fields**, while the notion of particle is secondary.
- ▶ No distinguished representation for QFT in CS: **Algebraic programme**. The KG construction here presented can be generalise to other (non-linear) field theories. Modern formulation in terms of category theory.
- ▶ **Experimental challenges**: Unruh & Hawking effects  $\Rightarrow$  Nobel.
- ▶ **Theoretical challenges**: Black hole evaporation, measurement problem, semiclassical gravity.
- ▶ **Mathematical challenges**: Mathematical structure of QFT, perturbative problems, renormalisation, semiclassical gravity.
- ▶ **Technological applications?** (Exploiting Unruh, Casimir effects...?)

## Some literature:

- ▶ N. D. Birrell and P. C. W. Davies, *Quantum Fields in Curved Space* (Cambridge University Press, 1982)
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- ▶ B. A. Juárez-Aubry, Minicourse On Quantum Field Theory in Curved Spacetimes (2018).

# Thanks!