

# Bordered Heegaard Floer homology and knot complement

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# Smooth structure of manifolds

## Theorem (Topological Poincaré Conjecture)

*If a smooth  $m$ -manifold  $\Sigma^m$  is homotopy equivalent to  $S^m$  and  $m \geq 5$ , then  $\Sigma^m$  and  $S^m$  must be homeomorphic.*

## Sketch of proof.

Apply the topological  $h$ -cobordism theorem. □

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## Sketch of proof.

Apply the topological  $h$ -cobordism theorem. □

But  $\Sigma^m$  is not necessarily diffeomorphic to  $S^m$ . In fact,

## Theorem (Milnor)

*In dimension 7, there is an exotic sphere, which is homeomorphic but not diffeomorphic to the standard Euclidean 7-sphere.*

# Exoticness of four dimension

In four dimension,

## Theorem

*There is a smooth manifold  $M$  which is homeomorphic but not diffeomorphic to  $\mathbb{R}^4$ .*

## Theorem

*Every 3-manifold  $\Sigma^3$  with the same homology as the 3-sphere  $S^3$  must bound a contractible topological 4-manifold.*

## Conjecture (Smooth 4-dimensional Poincaré conjecture)

*If a smooth 4-manifold  $\Sigma^4$  is homotopy equivalent to  $S^4$ , then  $\Sigma^4$  and  $S^4$  must be diffeomorphic.*

# Advertising the Heegaard Floer homology

Heegaard Floer theory assigns;

- to a closed 3-manifold  $Y^3$ , chain complexes  $CF^*(Y)$ ,  $*$   $\in \{\wedge, \pm, \infty\}$  well defined up to homotopy
- to a 4-manifold  $W$  with  $\partial W = Y_1 \cup -Y_2$ , chain maps  $F_W^* : CF^*(Y_1) \rightarrow CF^*(Y_2)$  well defined up to chain homotopy

# Advertising the Heegaard Floer homology

Heegaard Floer theory is able to;

- detects smooth structure of 4-manifold
- detects genus of knots / Thurston norm
- detects fiberedness of knots and 3-manifolds
- find the minimal genus representatives of homology class in 4-manifold

and the list goes on.

# Construction of 3-manifold

## Handle decomposition of manifold

### Definition

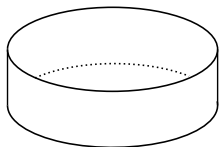
For  $0 \leq k \leq 3$ , an *3-dimensional  $k$ -handle*  $h$  is a 3-ball  $D^k \times D^{3-k}$ . It is attached to the boundary of a 3-manifold  $X$  along the *attaching region*  $\partial D^k \times D^{3-k}$  by an embedding  $\phi : \partial D^k \times D^{3-k} \rightarrow \partial X$ . Also  $\partial D^k \times \{0\}$  is called the *core*.

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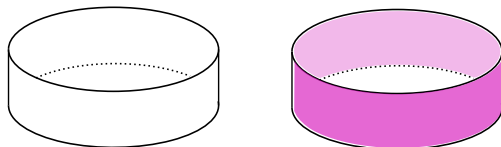


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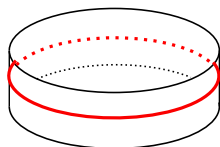
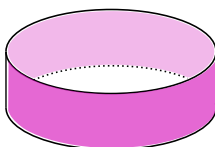
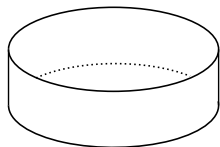


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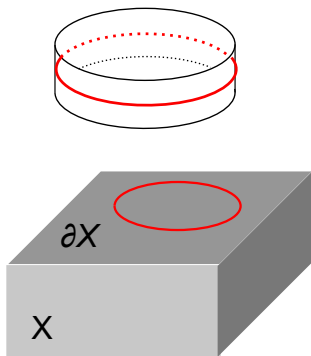


- The resulting manifold  $X \cup_{\phi} h$  is a smooth manifold.
- The (isotopy type of) embedding  $\phi$  is determined by the image of  $\phi|_{\partial D^k \times \{0\}}$ , called an *attaching sphere*.

# Construction of 3-manifold

## Handle decomposition of manifold

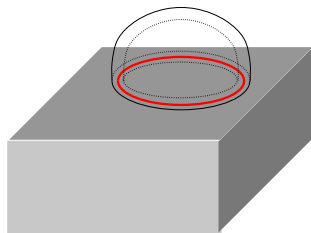
Example : 2-handle



# Construction of 3-manifold

## Handle decomposition of manifold

Example : 2-handle



# Construction of 3-manifold

## Heegaard diagram

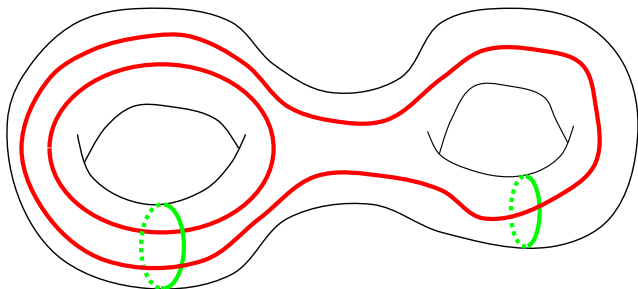
A Heegaard diagram consists of the following data:

- a genus  $g$  surface  $\Sigma$ ,
- a collection of disjoint closed curves  $\alpha := \{\alpha_1, \dots, \alpha_g\}$  such that  $\Sigma \setminus \alpha$  is connected,
- another set of curves  $\beta = \{\beta_1, \dots, \beta_g\}$  satisfying the same condition (but  $\alpha$ - and  $\beta$ - curves may intersect)

# Construction of 3-manifold

## Heegaard diagram

- thicken  $\Sigma$  to  $\Sigma \times [0, 1]$
- attach 3-dimensional 2-handles along  $\alpha$  on  $\Sigma \times \{1\}$
- attach 3-dimensional 2-handles along  $\beta$  on  $\Sigma \times \{0\}$
- attach two closed 3-balls in obvious way



# Construction of 3-manifold

## Heegaard diagram

### Theorem

*Every closed, oriented 3-manifold  $Y$  admits a Heegaard diagram representing  $Y$ .*



# Construction of 3-manifold

## Heegaard diagram

For a technical reason, we choose a point  $z \in \Sigma$  away from the  $\alpha$  and  $\beta$  curves. Then,

### Definition

The quadruple  $(\Sigma, \alpha, \beta, z)$  is called a *Heegaard quadruple*.

# Heegaard Floer chain complex

In 2003, Ozsváth and Szabó defined a Lagrangian Floer intersection homology on the symmetric product of the Heegaard diagram.

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The Lagrangian Floer intersection homology requires the following.

- a symplectic manifold  $(M^{2n}, \omega)$
- two Lagrangian submanifolds  $(L_1, L_2)$

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In 2003, Ozsváth and Szabó defined a Lagrangian Floer intersection homology on the symmetric product of the Heegaard diagram.

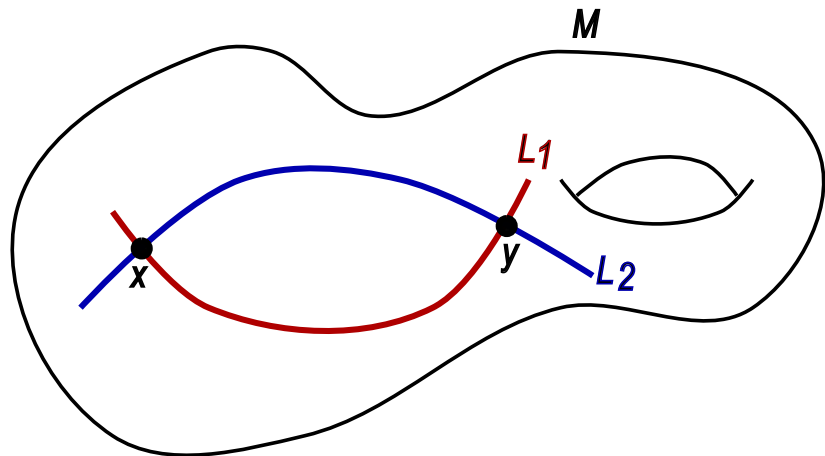
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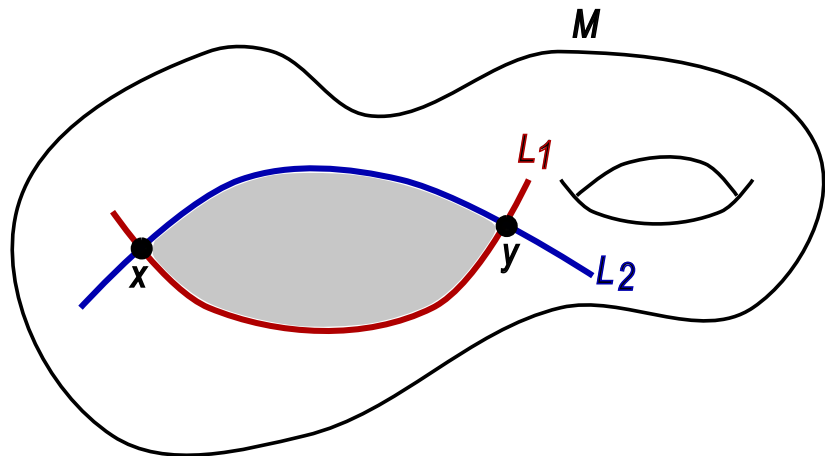
Then,

- The chain complex is generated by intersection points between  $L_1$  and  $L_2$ .
- A differential between two points is determined by the  $J$ -holomorphic disk whose boundary lies in  $L_1$  and  $L_2$ .

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# Heegaard Floer chain complex

In Lagrangian intersection Floer theory, computation of differential is usually very hard, involving solving highly non-linear PDEs.

- For given two generators, make sure there is a topological disk between them.
- Even if there is a topological disk, need to ensure existence of a holomorphic representative of the disk.

# Heegaard Floer chain complex

A  $k$ -fold symmetric product of  $X$   $\text{Sym}^k(X)$  is

$$\text{Sym}^k(X) := \underbrace{(X \times \cdots \times X)}_{k\text{-times}} / S_k.$$

If  $X$  is  $n$ -dimensional, then  $\text{Sym}^k(X)$  is  $n \cdot k$  dimensional.



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- from genus- $g$  surface  $\Sigma$ ,  $2g$ -dimensional symplectic manifold  $\text{Sym}^g(\Sigma)$
- from  $\alpha = \{\alpha_1, \dots, \alpha_g\}$ ,  $g$ -dimensional Lagrangian submanifold  $\mathbb{T}_\alpha := \alpha_1 \times \cdots \times \alpha_g / S_g$
- from  $\beta = \{\beta_1, \dots, \beta_g\}$ ,  $g$ -dimensional Lagrangian submanifold  $\mathbb{T}_\beta := \beta_1 \times \cdots \times \beta_g / S_g$

# Heegaard Floer chain complex

We want to do Lagrangian Floer intersection theory on

- a symplectic manifold  $\text{Sym}^g(\Sigma)$
- two Lagrangian submanifolds  $\mathbb{T}_\alpha$  and  $\mathbb{T}_\beta$

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## Definition (hard version)

The *Heegaard Floer homology* of  $Y^3$  is the Lagrangian Floer intersection homology of symplectic manifold  $\text{Sym}^g(\Sigma)$  and Lagrangian submanifolds  $\mathbb{T}_\alpha$  and  $\mathbb{T}_\beta$ , where  $(\Sigma, \alpha, \beta)$  represents  $Y^3$ .

# Heegaard Floer chain complex

There is a projection map  $\text{Sym}^g(\Sigma) \rightarrow \Sigma$ . Under the projection,

in $\text{Sym}^g(\Sigma)$	on $\Sigma$
topological disk	a (linear combination of) region on $\Sigma$
connecting two generators	bounded by $\alpha$ and $\beta$ (called <i>domain</i> )
$\mathbf{x} \in \mathbb{T}_\alpha \cap \mathbb{T}_\beta$	$g$ points on $\alpha \cap \beta$ satisfying...

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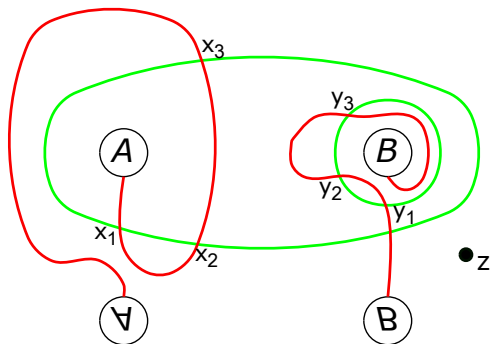
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...satisfying the following conditions.

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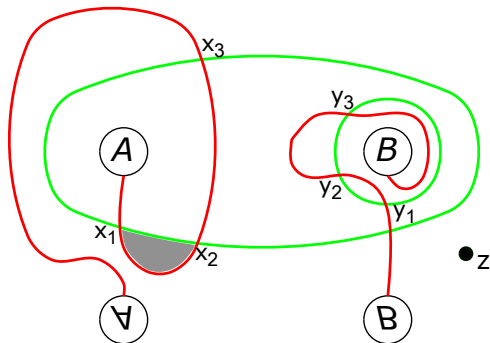
Example : Heegaard diagram of  $S^3$



There are 9 generators :  $x_i y_j$ , where  $i, j = 1, 2, 3$

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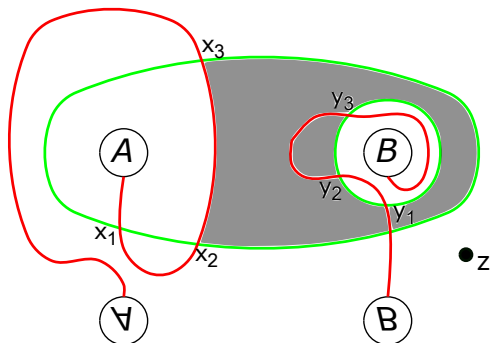


This domain implies differentials  $x_1 y_j \mapsto x_2 y_j$



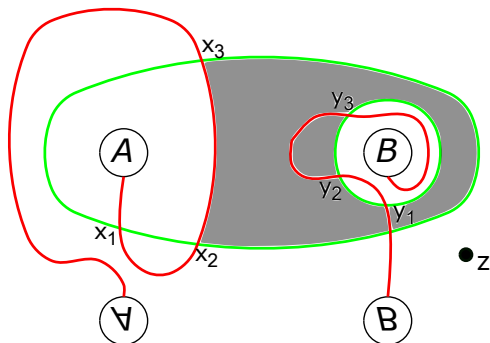
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The existence of differential  $x_3 y_j \mapsto x_2 y_j$ ?

# Heegaard Floer chain complex

- $\pi_2(\mathbf{x}, \mathbf{y}) :=$  space of all domains connecting from a generator  $\mathbf{x}$  to a generator  $\mathbf{y}$
- $\mathcal{M}(B) :=$  space of holomorphic curves “contained” in domain  $B$

**Fact.** If the Maslov index difference between  $\mathbf{x}$  and  $\mathbf{y}$  is one, then  $\mathcal{M}(B)/\mathbb{R}$  is compact zero dimensional manifold(i.e., finite number of points), if it exists.

# Heegaard Floer chain complex

## Definition (hat-version)

Let  $\mathcal{H} = (\Sigma, \alpha, \beta, z)$  be a pointed Heegaard diagram of 3-manifold  $Y$ .  $\mathcal{S}(\mathcal{H})$  be a set of generators. Then  $\widehat{CF}(\mathcal{H})$  is  $\mathbf{Z}_2$ -vector space generated by  $\mathcal{S}(\mathcal{H})$  equipped with a differential

$$\widehat{\partial}\mathbf{x} := \sum_{\substack{B \in \pi_2(\mathbf{x}, \mathbf{y}) \\ \text{ind}(B) = 1}} \#(\mathcal{M}(B)/\mathbb{R}) \cdot \mathbf{y},$$

where  $B$  does not contain the domain with  $z$ .

# Heegaard Floer chain complex

A priori, the homology  $H_*(\widehat{CF}(\mathcal{H}))$  depends on the choice of the Heegaard diagram  $\mathcal{H}$ . However, thanks to the heroic analysis of Ozsváth and Szabó,

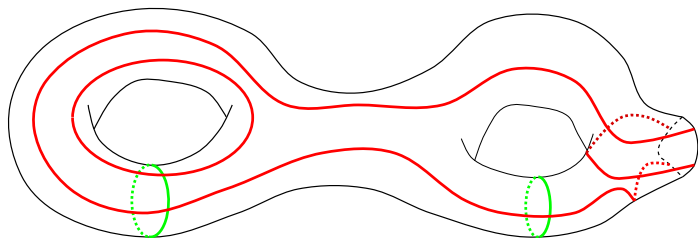
## Theorem

*Suppose  $\mathcal{H}$  represent a 3-manifold  $Y$ . Then the homotopy type of the homology  $H_*(\widehat{CF}(\mathcal{H}))$  is independent from choice of the Heegaard diagram.*

Thus we write  $\widehat{HF}(Y) := H_*(\widehat{CF}(\mathcal{H}))$ .  
(We are omitting the  $Spin^c$ -structure)

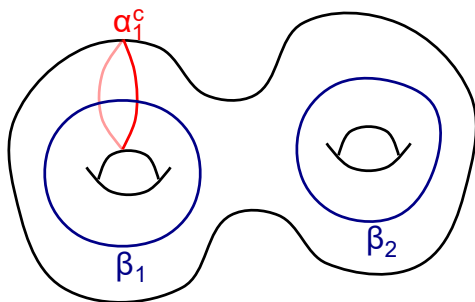
# Bordered Floer homology

Bordered Heegaard Floer homology is invented to compute the Heegaard-Floer type invariant on the sliced Heegaard diagram, and the invariant is intended to be glued to another sliced diagram.



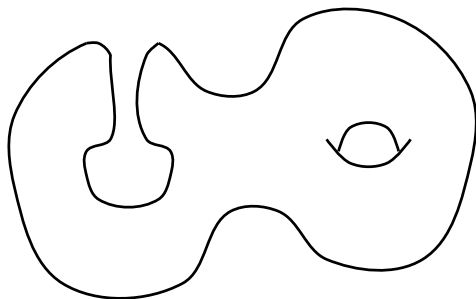
## The bordered Heegaard diagram

- Let  $(\overline{\Sigma}^g, \alpha_1^c, \dots, \alpha_{g-1}^c, \beta_1, \dots, \beta_g)$  be a Heegaard diagram with one  $\alpha$ -circle removed.
- The resulting 3-manifold has two boundary components, a sphere and a torus.
- Let  $\alpha_1^a, \alpha_2^a$  be circles on  $\overline{\Sigma}^g$  intersecting at one point  $p$ , giving basis of the fundamental group of the torus.



## The bordered Heegaard diagram

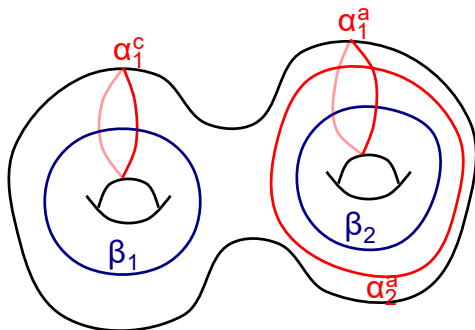
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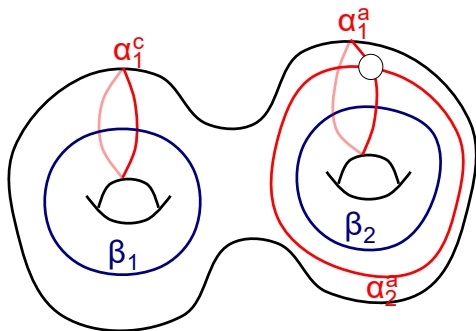
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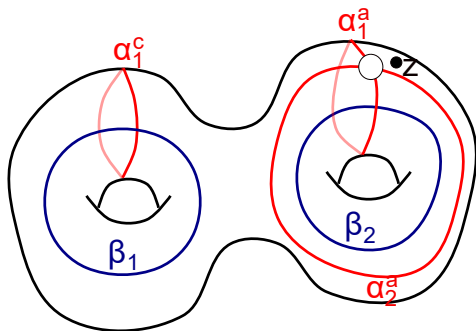
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- Let  $\Sigma^g := \overline{\Sigma}^g - \mathbb{D}_\epsilon(p)$ .
- $(\Sigma^g, (\alpha_1^c, \dots, \alpha_{g-1}^c, \alpha_1^a, \alpha_2^a), (\beta_1, \dots, \beta_g))$  is called a *bordered Heegaard diagram*.
- Fix a point  $z \in \Sigma^g$  near  $p$ .



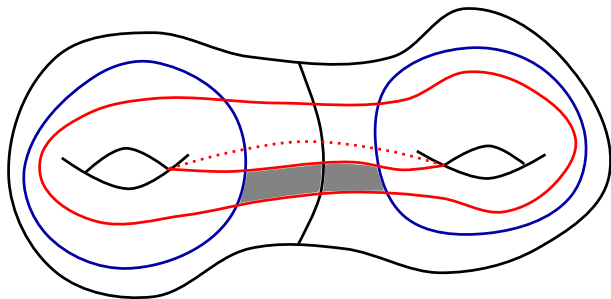
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# Torus algebra

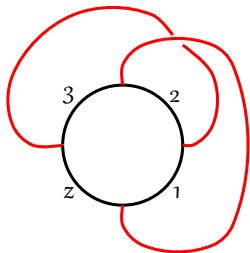
- The bordered Floer homology is intended to compute HF of the union of two Heegaard diagrams  $(\Sigma_1, \alpha_1, \beta_1)$  and  $(\Sigma_2, \alpha_2, \beta_2)$ .
- It turns out the holomorphic curves crossing the boundary  $\partial\Sigma_1 = \partial\Sigma_2$  gives an algebra of *Reeb chords* on  $(\partial\Sigma_1, \alpha)$ .



# Torus algebra

The circular puncture is divided into 4 segments by the foot of the arcs.

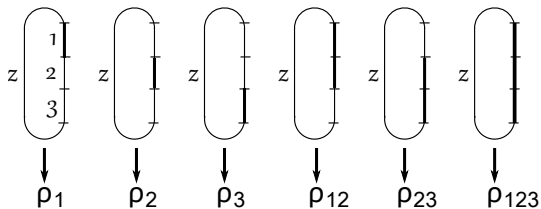
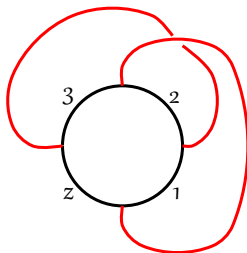
We label each segment, except for the segment adjacent to  $z$ , from 1 to 3, respecting the induced orientation or reversed induced orientation.



# Torus algebra

- Each segment  $I \in \{1, 2, 3, 12, 23, 123\}$  is an oriented Reeb chord relative to  $\partial\alpha_i^{\sharp}$ .
- Torus algebra  $\mathcal{A}(T)$  is generated by  $\rho_I$ , which is called an algebra element of Reeb chord  $I$ .
- Multiplication is the concatenation of segments. e.g.,  $\rho_1 \cdot \rho_2 = \rho_{12}$  and so on.
- If the concatenation is non-sensible, then the multiplication is zero.

# Torus algebra



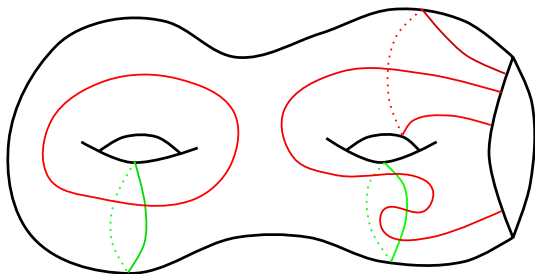
# Bordered Floer homology

left  $\mathcal{A}(T)$ -module  $\widehat{CFD}$

The generator of the left module is a set of  $g$ -points in  $\alpha \cap \beta$  such that

- exactly one element on each  $\beta$ -circle
- exactly one element on each  $\alpha$ -circle
- no two points of the same  $\alpha$ -arc

Let  $\mathcal{S}(\mathcal{H})$  denote the set of generators.





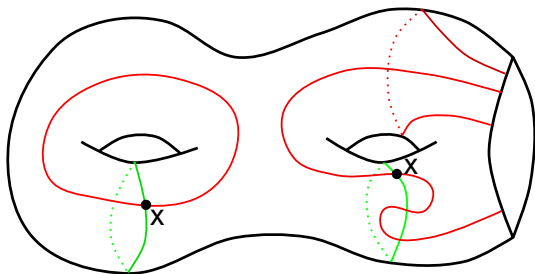
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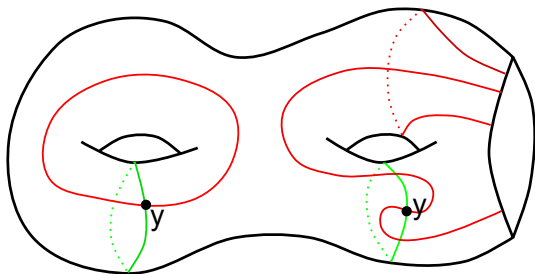
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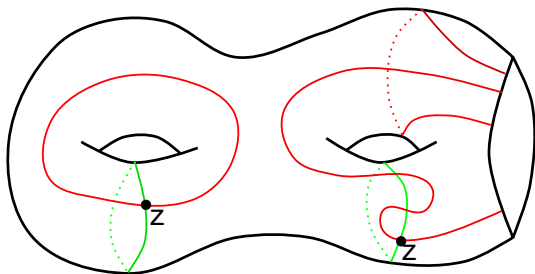
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# Bordered Floer homology

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The differential of the left module is

$$d(\mathbf{x}) = \sum_{\substack{B \in \pi_2(\mathbf{x}, \mathbf{y}) \\ \text{ind}(B) = 1}} \#(\mathcal{M}(B)/\mathbb{R}) \cdot \vec{\rho} \mathbf{y},$$

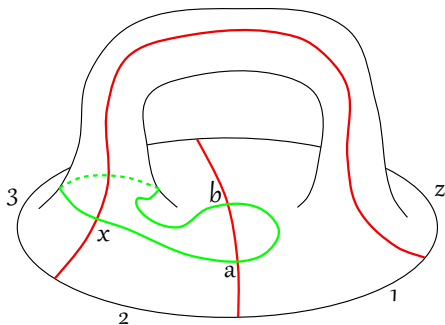
where

- $\vec{\rho}$  is the multiplication of all algebra element  $\rho_I$  appear in  $B$ .
- the domain  $B$  does not contain  $z$ .

We will see an example.

# Bordered Floer homology

left  $\mathcal{A}(T)$ -module  $\widehat{CFD}$



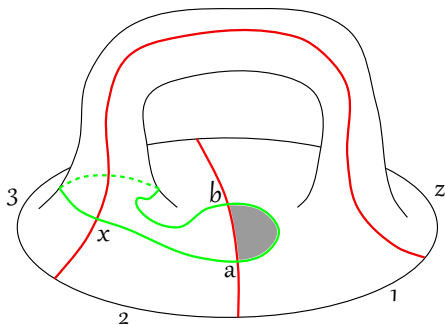
$$d(b) = a + \rho_3 x$$

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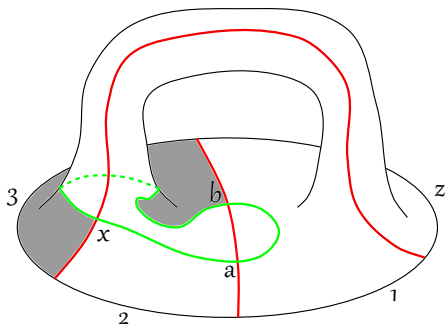
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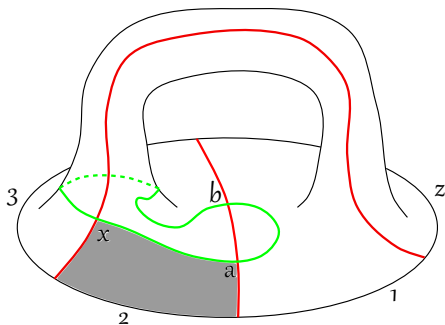
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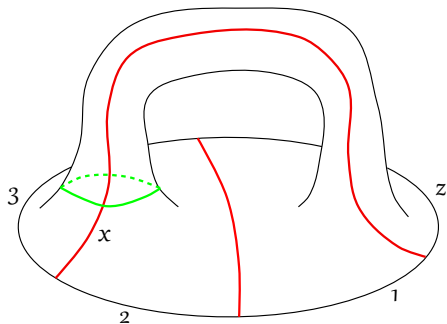
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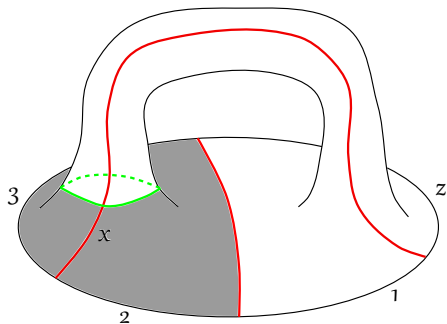
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left  $\mathcal{A}(T)$ -module  $\widehat{CFD}$

The first chain complex:

$$\begin{array}{ccc} b & & \\ \rho_3 \downarrow & \searrow & \\ x & \xrightarrow{\rho_2} & a \end{array}$$

The second chain complex:

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In fact, they are homotopy equivalent.

## Theorem

*If the two punctured diagrams  $\mathcal{H} = (\Sigma, \alpha, \beta, z)$  and  $\mathcal{H}' = (\Sigma', \alpha', \beta', z')$  represent the same 3-manifold  $Y$ , then  $\widehat{CFD}(\mathcal{H})$  and  $\widehat{CFD}(\mathcal{H}')$  are homotopy equivalent.*

## Bordered Floer homology

$\widehat{CFA}$

By dualizing  $\widehat{CFD}(\mathcal{H})$ , we obtain a right  $\mathcal{A}(F)$ -module  $\widehat{CFA}(\mathcal{H})$ . It is...

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- Taking  $\mathcal{A}_\infty$ -tensor product with  $\widehat{CFD}$ , it gives  $\widehat{CF}$  of closed 3-manifold.
- $\mathcal{A}_\infty$  structure sometimes gives the signed count of moduli space for hard domains.

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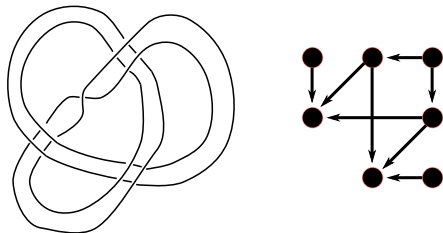
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## Knot complement

There is an algorithm to compute  $\widehat{CFD}$  of a knot  $K \subset S^3$  complement.  
For example,

