

Inner Functions and Inverse Spectral theory

Rishika Rupam
(joint work with Mishko Mitkovski)

Labex CEMPI, Université de Lille 1

March 10th, 2016

A classical problem

$$\Lambda = \{\lambda_n\}_n \subset \mathbb{R}.$$

A classical problem

$\Lambda = \{\lambda_n\}_n \subset \mathbb{R}$. Is $\{e^{i\lambda_n x}\}_{\lambda_n \in \Lambda}$

A classical problem

$\Lambda = \{\lambda_n\}_n \subset \mathbb{R}$. Is $\{e^{i\lambda_n x}\}_{\lambda_n \in \Lambda}$ complete in $L^2(0, a)$?

A classical problem

$\Lambda = \{\lambda_n\}_n \subset \mathbb{R}$. Is $\{e^{i\lambda_n x}\}_{\lambda_n \in \Lambda}$ complete in $L^2(0, a)$?

Theorem (Paley-Wiener, 1934)

$$R(\Lambda) \geq \bar{D}(\Lambda) = \limsup_{x \rightarrow \infty} \frac{\#(\Lambda \cap (0, x))}{x}$$

A classical problem

$\Lambda = \{\lambda_n\}_n \subset \mathbb{R}$. Is $\{e^{i\lambda_n x}\}_{\lambda_n \in \Lambda}$ complete in $L^2(0, a)$?

Theorem (Paley-Wiener, 1934)

$$R(\Lambda) \geq \bar{D}(\Lambda) = \limsup_{x \rightarrow \infty} \frac{\#(\Lambda \cap (0, x))}{x}$$

Example: (Koosis, 1959) There exists $\Lambda \subset \mathbb{R}$ such that $\bar{D}(\Lambda) = 0$ but $R(\Lambda) = \infty$.

A classical problem

$\Lambda = \{\lambda_n\}_n \subset \mathbb{R}$. Is $\{e^{i\lambda_n x}\}_{\lambda_n \in \Lambda}$ complete in $L^2(0, a)$?

Theorem (Paley-Wiener, 1934)

$$R(\Lambda) \geq \bar{D}(\Lambda) = \limsup_{x \rightarrow \infty} \frac{\#(\Lambda \cap (0, x))}{x}$$

Example: (Koosis, 1959) There exists $\Lambda \subset \mathbb{R}$ such that $\bar{D}(\Lambda) = 0$ but $R(\Lambda) = \infty$.

I_n disjoint intervals is *short* if $\sum_n \frac{|I_n|^2}{1 + \text{dist}(0, I_n)} < \infty$. It is *long* otherwise.

$$D^*(\Lambda) = \sup\{d : \exists \text{ long } \{I_n\} \text{ s.t. } \#(\Lambda \cap I_n) \geq d|I_n|\}$$

A classical problem

$\Lambda = \{\lambda_n\}_n \subset \mathbb{R}$. Is $\{e^{i\lambda_n x}\}_{\lambda_n \in \Lambda}$ complete in $L^2(0, a)$?

Theorem (Paley-Wiener, 1934)

$$R(\Lambda) \geq \bar{D}(\Lambda) = \limsup_{x \rightarrow \infty} \frac{\#(\Lambda \cap (0, x))}{x}$$

Example: (Koosis, 1959) There exists $\Lambda \subset \mathbb{R}$ such that $\bar{D}(\Lambda) = 0$ but $R(\Lambda) = \infty$.

I_n disjoint intervals is *short* if $\sum_n \frac{|I_n|^2}{1 + \text{dist}(0, I_n)} < \infty$. It is *long* otherwise.

$$D^*(\Lambda) = \sup\{d : \exists \text{ long } \{I_n\} \text{ s.t. } \#(\Lambda \cap I_n) \geq d|I_n|\}$$

Theorem (Beurling & Malliavin)

$$R(\Lambda) = D^*(\Lambda)$$

In 2005, Makarov and Poltoratski reformulated the results of Beurling and Malliavin, using Model Spaces and Toeplitz kernels.

In 2005, Makarov and Poltoratski reformulated the results of Beurling and Malliavin, using Model Spaces and Toeplitz kernels.

Restatement

If Λ is not complete in $L^2(0, a)$, then $\int_{-a}^a f(t)e^{-i\lambda_n t} dt = 0$

In 2005, Makarov and Poltoratski reformulated the results of Beurling and Malliavin, using Model Spaces and Toeplitz kernels.

Restatement

If Λ is not complete in $L^2(0, a)$, then $\int_{-a}^a f(t)e^{-i\lambda_n t} dt = 0 = \hat{f}(\lambda_n)$.

In 2005, Makarov and Poltoratski reformulated the results of Beurling and Malliavin, using Model Spaces and Toeplitz kernels.

Restatement

If Λ is not complete in $L^2(0, a)$, then $\int_{-a}^a f(t)e^{-i\lambda_n t} dt = 0 = \hat{f}(\lambda_n)$.
 $\hat{f}|_{\Lambda} = 0$

In 2005, Makarov and Poltoratski reformulated the results of Beurling and Malliavin, using Model Spaces and Toeplitz kernels.

Restatement

If Λ is not complete in $L^2(0, a)$, then $\int_{-a}^a f(t)e^{-i\lambda_n t} dt = 0 = \hat{f}(\lambda_n)$.

$\hat{f}|_{\Lambda} = 0$ i.e. Λ is not a uniqueness set in PW_a .

(Uniqueness set: $F, G \in PW_a$ and $F = G$ on $\Lambda \Rightarrow F \equiv G$.)

$$R(\Lambda) = \sup\{a : \text{Ker} T_{\bar{S}^a J_{\Lambda}} = 0\},$$

$S(z) = e^{iz}$ and J_{Λ} is a meromorphic inner function with $\{J = 1\} = \Lambda$.

In 2005, Makarov and Poltoratski reformulated the results of Beurling and Malliavin, using Model Spaces and Toeplitz kernels.

Restatement

If Λ is not complete in $L^2(0, a)$, then $\int_{-a}^a f(t)e^{-i\lambda_n t} dt = 0 = \hat{f}(\lambda_n)$.

$\hat{f}|_{\Lambda} = 0$ i.e. Λ is not a uniqueness set in PW_a .

(Uniqueness set: $F, G \in PW_a$ and $F = G$ on $\Lambda \Rightarrow F \equiv G$.)

$$R(\Lambda) = \sup\{a : \text{Ker} T_{\bar{S}^a J_{\Lambda}} = 0\},$$

$S(z) = e^{iz}$ and J_{Λ} is a meromorphic inner function with $\{J = 1\} = \Lambda$.

In 2005, Makarov and Poltoratski reformulated the results of Beurling and Malliavin, using Model Spaces and Toeplitz kernels.

Restatement

If Λ is not complete in $L^2(0, a)$, then $\int_{-a}^a f(t)e^{-i\lambda_n t} dt = 0 = \hat{f}(\lambda_n)$.

$\hat{f}|_{\Lambda} = 0$ i.e. Λ is not a uniqueness set in PW_a .

(Uniqueness set: $F, G \in PW_a$ and $F = G$ on $\Lambda \Rightarrow F \equiv G$.)

$$R(\Lambda) = \sup\{a : \text{Ker} T_{\bar{S}^a J_{\Lambda}} = 0\},$$

$S(z) = e^{iz}$ and J_{Λ} is a meromorphic inner function with $\{J = 1\} = \Lambda$.

Paley-Wiener Space

$$PW_a = S^{-a}[\mathcal{H}^2 \ominus S^{2a}\mathcal{H}^2],$$

where $S(z) = e^{iz}$

Model Spaces

Paley-Wiener Space

$$PW_a = S^{-a}[\mathcal{H}^2 \ominus S^{2a}\mathcal{H}^2],$$

$$\text{where } S(z) = e^{iz}$$

Model Spaces

$$K_{S^{2a}} = \mathcal{H}^2 \ominus S^{2a}\mathcal{H}^2$$

Model Spaces

Paley-Wiener Space

$$PW_a = S^{-a}[\mathcal{H}^2 \ominus S^{2a}\mathcal{H}^2],$$

$$\text{where } S(z) = e^{iz}$$

Model Spaces

$$K_{S^{2a}} = \mathcal{H}^2 \ominus S^{2a}\mathcal{H}^2$$

$$K_{\Theta} = \mathcal{H}^2 \ominus \Theta\mathcal{H}^2.$$

Model Spaces

Paley-Wiener Space

$$PW_a = S^{-a}[\mathcal{H}^2 \ominus S^{2a}\mathcal{H}^2],$$

$$\text{where } S(z) = e^{iz}$$

Model Spaces

$$K_{S^{2a}} = \mathcal{H}^2 \ominus S^{2a}\mathcal{H}^2$$

$$K_{\Theta} = \mathcal{H}^2 \ominus \Theta\mathcal{H}^2.$$

What about uniqueness sets of K_{Θ} ?

Meromorphic Inner Functions (MIFs)

Definition

An MIF Θ is a bounded analytic function on \mathbb{C}_+ , with a meromorphic continuation on \mathbb{C} such that $|\Theta| = 1$ on \mathbb{R} .

Meromorphic Inner Functions (MIFs)

Definition

An MIF Θ is a bounded analytic function on \mathbb{C}_+ , with a meromorphic continuation on \mathbb{C} such that $|\Theta| = 1$ on \mathbb{R} .

eg. $B_W(z) = \frac{z-w}{z-\bar{w}} (w \in \mathbb{C}_+)$,

Meromorphic Inner Functions (MIFs)

Definition

An MIF Θ is a bounded analytic function on \mathbb{C}_+ , with a meromorphic continuation on \mathbb{C} such that $|\Theta| = 1$ on \mathbb{R} .

eg. $B_W(z) = \frac{z-w}{z-\bar{w}} (w \in \mathbb{C}_+)$, $e^{iaz} (a \geq 0)$.

Meromorphic Inner Functions (MIFs)

Definition

An MIF Θ is a bounded analytic function on \mathbb{C}_+ , with a meromorphic continuation on \mathbb{C} such that $|\Theta| = 1$ on \mathbb{R} .

eg. $B_W(z) = \frac{z-w}{z-\bar{w}} (w \in \mathbb{C}_+), e^{iaz} (a \geq 0)$.

Spectrum

$$\sigma(\Theta) = \{x \in \mathbb{R} | \Theta(x) = 1\}.$$

Meromorphic Inner Functions (MIFs)

Definition

An MIF Θ is a bounded analytic function on \mathbb{C}_+ , with a meromorphic continuation on \mathbb{C} such that $|\Theta| = 1$ on \mathbb{R} .

eg. $B_W(z) = \frac{z-w}{z-\bar{w}} (w \in \mathbb{C}_+)$, $e^{iaz} (a \geq 0)$.

Spectrum

$$\sigma(\Theta) = \{x \in \mathbb{R} | \Theta(x) = 1\}.$$

Also a spectrum

$$\{x \in \mathbb{R} | \Theta(x) = e^{i\alpha}\}$$

Schrödinger Operators

Consider the Schrödinger operator

$$u \rightarrow -u'' + qu$$

on $L^2(a, b)$.

Schrödinger Operators

Consider the Schrödinger operator

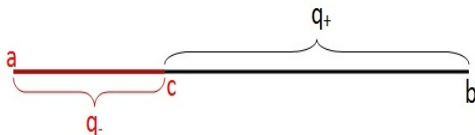
$$u \rightarrow -u'' + qu$$

on $L^2(a, b)$.

$$\cos(\alpha)u(a) + \sin(\alpha)u'(a) = 0 \quad (1)$$

$$\cos(\beta)u(b) + \sin(\beta)u'(b) = 0. \quad (2)$$

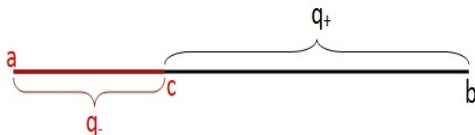
Let $L = (q, \alpha, \beta)$.



The data $(q_-, \sigma(L))$ determines L if for any Schrödinger operator \tilde{L} with potential \tilde{q} ,

$$q_- = \tilde{q}_-, \sigma(L) = \sigma(\tilde{L}) \Rightarrow q \equiv \tilde{q}$$

Let $L = (q, \alpha, \beta)$.

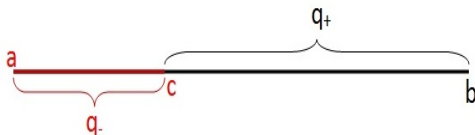


The data $(q_-, \sigma(L))$ determines L if for any Schrödinger operator \tilde{L} with potential \tilde{q} ,

$$q_- = \tilde{q}_-, \sigma(L) = \sigma(\tilde{L}) \Rightarrow q \equiv \tilde{q}$$

Q: For which value of c does $(q_-, \sigma(L))$ determine L ?

Let $L = (q, \alpha, \beta)$.



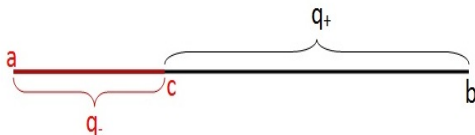
The data $(q_-, \sigma(L))$ determines L if for any Schrödinger operator \tilde{L} with potential \tilde{q} ,

$$q_- = \tilde{q}_-, \sigma(L) = \sigma(\tilde{L}) \Rightarrow q \equiv \tilde{q}$$

Q: For which value of c does $(q_-, \sigma(L))$ determine L ?

- ① Hochstadt-Liebermann: If $c = \frac{1}{2}(a + b)$, then $(q_-, \sigma(L))$ determines L .

Let $L = (q, \alpha, \beta)$.



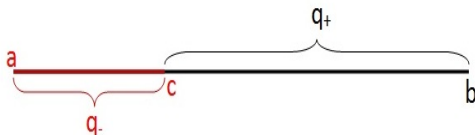
The data $(q_-, \sigma(L))$ determines L if for any Schrödinger operator \tilde{L} with potential \tilde{q} ,

$$q_- = \tilde{q}_-, \sigma(L) = \sigma(\tilde{L}) \Rightarrow q \equiv \tilde{q}$$

Q: For which value of c does $(q_-, \sigma(L))$ determine L ?

- ① Hochstadt-Liebermann: If $c = \frac{1}{2}(a + b)$, then $(q_-, \sigma(L))$ determines L .
- ② Borg: If $c = 0$, then we require 2 spectra to determine L .

Let $L = (q, \alpha, \beta)$.



The data $(q_-, \sigma(L))$ determines L if for any Schrödinger operator \tilde{L} with potential \tilde{q} ,

$$q_- = \tilde{q}_-, \sigma(L) = \sigma(\tilde{L}) \Rightarrow q \equiv \tilde{q}$$

Q: For which value of c does $(q_-, \sigma(L))$ determine L ?

- ① Hochstadt-Liebermann: If $c = \frac{1}{2}(a + b)$, then $(q_-, \sigma(L))$ determines L .
- ② Borg: If $c = 0$, then we require 2 spectra to determine L .
- ③ Del-Rio, Gesztesy, Simon:
 - (i) $c = \frac{3}{4}(a + b)$ & half the spectrum is enough to determine L .
 - (ii) $c = \frac{1}{4}(a + b)$ and $1\frac{1}{4}$ spectrum is enough to determine L .

Fix β . For $\lambda \in \mathbb{C}$, let u_λ be a solution to $-u'' + qu = \lambda u$ and (2).

$$m(\lambda) := \frac{u'_\lambda(a)}{u_\lambda(a)} \dots \text{ (Weyl m-function)}$$

Fix β . For $\lambda \in \mathbb{C}$, let u_λ be a solution to $-u'' + qu = \lambda u$ and (2).

$$m(\lambda) := \frac{u'_\lambda(a)}{u_\lambda(a)} \dots \text{(Weyl m-function)}$$

Borg: The Weyl m-function determines the potential i.e., if q_1 and q_2 have m-functions m_1 and m_2 respectively. Then $m_1 = m_2 \Rightarrow q_1 = q_2$ a.e.

Fix β . For $\lambda \in \mathbb{C}$, let u_λ be a solution to $-u'' + qu = \lambda u$ and (2).

$$m(\lambda) := \frac{u'_\lambda(a)}{u_\lambda(a)} \dots \text{(Weyl m-function)}$$

Borg: The Weyl m-function determines the potential i.e., if q_1 and q_2 have m-functions m_1 and m_2 respectively. Then $m_1 = m_2 \Rightarrow q_1 = q_2$ a.e.

$$\Theta(\lambda) := \frac{m(\lambda) - i}{m(\lambda) + i} \dots \text{(Weyl inner-function)}$$

Fix β . For $\lambda \in \mathbb{C}$, let u_λ be a solution to $-u'' + qu = \lambda u$ and (2).

$$m(\lambda) := \frac{u'_\lambda(a)}{u_\lambda(a)} \dots \text{(Weyl m-function)}$$

Borg: The Weyl m-function determines the potential i.e., if q_1 and q_2 have m-functions m_1 and m_2 respectively. Then $m_1 = m_2 \Rightarrow q_1 = q_2$ a.e.

$$\Theta(\lambda) := \frac{m(\lambda) - i}{m(\lambda) + i} \dots \text{(Weyl inner-function)}$$

Remark 1: The Weyl inner function determines the potential.

Fix β . For $\lambda \in \mathbb{C}$, let u_λ be a solution to $-u'' + qu = \lambda u$ and (2).

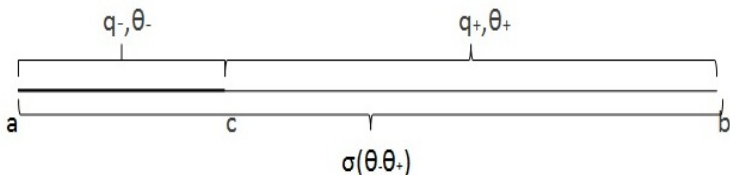
$$m(\lambda) := \frac{u'_\lambda(a)}{u_\lambda(a)} \dots \text{(Weyl m-function)}$$

Borg: The Weyl m-function determines the potential i.e., if q_1 and q_2 have m-functions m_1 and m_2 respectively. Then $m_1 = m_2 \Rightarrow q_1 = q_2$ a.e.

$$\Theta(\lambda) := \frac{m(\lambda) - i}{m(\lambda) + i} \dots \text{(Weyl inner-function)}$$

Remark 1: The Weyl inner function determines the potential.

Remark 2: $\sigma(L) = \sigma(\Theta)$.



- $\sigma(\Theta_- \Theta_+) = \sigma(L)$
- $q_- = \tilde{q}_- \Rightarrow \Theta_- = \tilde{\Theta}_+$
- $[q_-, \sigma(L)]$ determines q if $[\Theta_-, \sigma(\Theta_- \Theta_+)]$ determine Θ_+
(i.e. for $\tilde{\Theta} = \Theta_- \tilde{\Theta}_+$, if $\sigma(\Theta) = \sigma(\tilde{\Theta}) \Rightarrow \Theta_+ = \tilde{\Theta}_+$)

Q: Let $\Theta = \Phi\Psi$. Does $[\Phi, \sigma(\Theta)]$ determine Ψ ? i.e., can there exist a different $\Theta_2 = \Phi\Psi_2$, with $\sigma(\Theta) = \sigma(\Theta_2)$?

Q: Let $\Theta = \Phi\Psi$. Does $[\Phi, \sigma(\Theta)]$ determine Ψ ? i.e., can there exist a different $\Theta_2 = \Phi\Psi_2$, with $\sigma(\Theta) = \sigma(\Theta_2)$?

Toy problem

Let $b(z) = \frac{z-i}{z+i}$, $\Theta = b^2$. $\sigma(\Theta) = \{0, \infty\}$.

Q: Does $[b, \sigma(\Theta)]$ determine $\Theta = b^2$, i.e., can there exist ψ inner such that $\sigma(b\psi) = \sigma(\Theta) = \{0, \infty\}$?

Q: Let $\Theta = \Phi\Psi$. Does $[\Phi, \sigma(\Theta)]$ determine Ψ ? i.e., can there exist a different $\Theta_2 = \Phi\Psi_2$, with $\sigma(\Theta) = \sigma(\Theta_2)$?

Toy problem

Let $b(z) = \frac{z-i}{z+i}$, $\Theta = b^2$. $\sigma(\Theta) = \{0, \infty\}$.

Q: Does $[b, \sigma(\Theta)]$ determine $\Theta = b^2$, i.e., can there exist ψ inner such that $\sigma(b\psi) = \sigma(\Theta) = \{0, \infty\}$?

Ans: $\psi(z) = \frac{z-ia}{z+ia}$ for $a > 0$.

Q: Let $\Theta = \Phi\Psi$. Does $[\Phi, \sigma(\Theta)]$ determine Ψ ? i.e., can there exist a different $\Theta_2 = \Phi\Psi_2$, with $\sigma(\Theta) = \sigma(\Theta_2)$?

Toy problem

Let $b(z) = \frac{z-i}{z+i}$, $\Theta = b^2$. $\sigma(\Theta) = \{0, \infty\}$.

Q: Does $[b, \sigma(\Theta)]$ determine $\Theta = b^2$, i.e., can there exist ψ inner such that $\sigma(b\psi) = \sigma(\Theta) = \{0, \infty\}$?

Ans: $\psi(z) = \frac{z-ia}{z+ia}$ for $a > 0$.

Q: Let $\Theta = \Phi\Psi$. $[\Phi, \sigma(\Theta)]$ determines $\Psi \Leftrightarrow \sigma(\Theta)$ determines Ψ .

Let $\Lambda \subset \mathbb{R}$. Does Λ define Ψ ? i.e. if there is another MIF Ψ_2 with $\arg \Psi = \arg \Psi_2$ on $\Lambda \Leftrightarrow \Psi \equiv \Psi_2$?

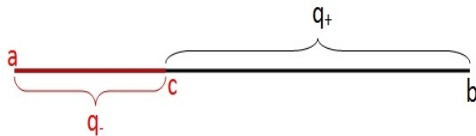
Φ is a Weyl inner function of a Schrödinger operator with potential in $L^2(a, b)$. Λ is a sequence on \mathbb{R} .

Φ is a Weyl inner function of a Schrödinger operator with potential in $L^2(a, b)$. Λ is a sequence on \mathbb{R} .

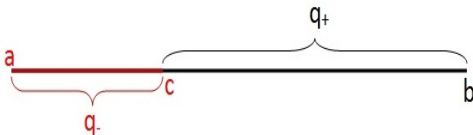
Theorem (Mitkovski, R.)

The sequence Λ uniquely defines Φ (in the class of Schrödinger Weyl inner functions in $L^2(a, b)$) if and only if the set $\Lambda \cup \{x\}$ is a uniqueness set for $K_{\Phi^2}^2$, for all $x \in \mathbb{R} \setminus \Lambda$.

Let $L = (q, \alpha, \beta)$.



Let $L = (q, \alpha, \beta)$.



Theorem (Mitkovski, R.)

If $D^(\Lambda) > 2(c - b)$, then $[q_-, \Lambda]$ determines q . If $D^*(\Lambda) < 2(c - b)$, then there is a different potential \tilde{q} such that $q_- = \tilde{q}_-$ that has the same spectral data on Λ .*

Corollary: Results of Del Rio, Gesztesy, Simon.

Thank you!