

# Asymptotic dimension of planes

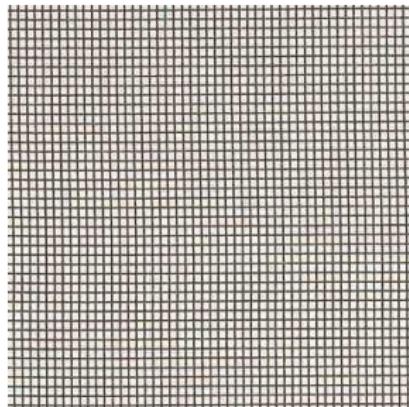
## Introduction to asymptotic dimension

Koji Fujiwara, Kyoto University

joint work with Panos Papasoglu

East Asia Geometric Topology conference. 19 Jan. 2022  
(Zoom)

## Dimension in the large scale



- ▶ What is the dimension of this object?  
1, 2, 0 ?
- ▶ Gromov defined “dimension in the large scale”, called asymptotic dimension.

## Quasi-isometry

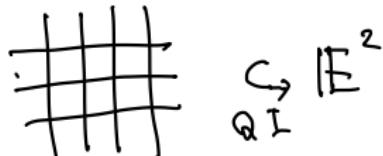
- Let  $f : X \rightarrow Y$  be a map between two metric spaces.  $f$  is a  $(K, L)$ -quasi-isometric embedding if for all  $x, y \in X$ ,

$$\frac{|x - y|}{K} - L \leq |f(x) - f(y)| \leq K|x - y| + L \quad (1)$$

- In addition, if for any  $y \in Y$  there is  $x \in X$  such that  $|y - f(x)| \leq L$ , then  $f$  is called a  $(K, L)$ -quasi-isometry, and we say  $X$  is quasi-isometric (QI) to  $Y$ .

For example,

- A bounded set  $X$  is QI to a point.
- The  $\mathbb{Z}^2$  grid graph (each edge has length 1) is QI to  $\mathbb{E}^2$ .



## Asymptotic dimension



- Let  $X$  be a metric space. Let  $\mathcal{U} = \{U_i\}$  be a cover of  $X$ .
- For  $D > 0$ , we say the  **$D$ -multiplicity** of the cover is (at most)  $n$  if any  $D$ -ball in  $X$  intersects at most  $n$  elements in  $\mathcal{U}$ .
- Def.** The **asymptotic dimension** of  $X$ ,  $\text{asdim } X$ , is at most  $n$  if for any  $D > 0$  there is a cover  $\mathcal{U}$  of  $X$  such that
  - (1) the  $D$ -multiplicity is at most  $n + 1$ .
  - (2) There is  $B$  such that for all  $U \in \mathcal{U}$ ,  $\text{diam } U \leq B$ .
- If such  $n$  does not exist, then  $\text{asdim } X = \infty$ .  $\text{asdim } X = n$  if  $\text{asdim } X \leq n$  and  $\text{asdim } X \not\leq n - 1$ .

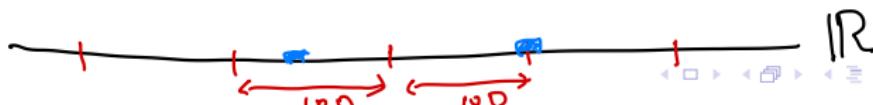
For example,

- If  $X$  is bounded, then  $\text{asdim } X = 0$ .
- $\text{asdim } \mathbb{R} = 1$ .

$D > 0$

$$\mathcal{U} = \{X\}$$
$$D_{\text{multi}} = 1$$
$$B = \text{diam } X$$

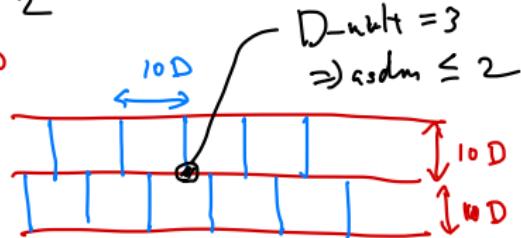
$$D_{\text{multi}} \approx 2 \Rightarrow \text{asdim } \mathbb{R} \leq 1$$



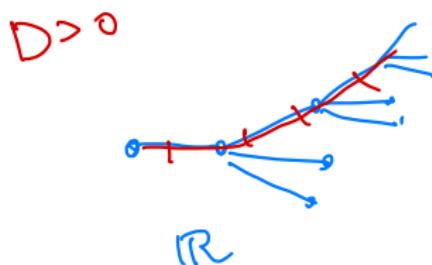
## Examples

$$\text{asdim } \mathbb{E}^2 \leq 2$$

$D > 0$



1.  $\text{asdim } \mathbb{E}^n = n$ .
2.  $\text{asdim } \mathbb{H}^n = n$ .
- ✓ 3.  $\text{asdim}$  of a tree is  $\leq 1$ .



# Basic properties of asymptotic dimension

1. If  $X$  is QI to  $Y$  then  $\text{asdim } X = \text{asdim } Y$ .
2. If  $Y \subset X$  then  $\text{asdim}(Y, d|_Y) \leq \text{asdim}(X, d)$ , more generally, if  $f : Y \rightarrow X$  is a QI-embedding, then  $\text{asdim } Y \leq \text{asdim } X$ .
3.  $\text{asdim}(X \times Y) \leq \text{asdim } X + \text{asdim } Y$ . On  $X \times Y$ , we put, for example, the  $\ell^1$ -metric, ie,  $d_X + d_Y$ .

## Some motivation

- ▶ Method to show  $\text{asdim } X < \infty$  or obtain a bound on  $\text{asdim } X$  is sometimes interesting.
- ▶ One can define asymptotic dimension for a finitely generated group  $G$  using its Cayley graph,  $\text{Cay}(G)$ ,

$$\text{Cay}(\mathbb{Z}^2) \quad \# \#$$

$$\text{asdim } G = \text{asdim } \text{Cay}(G).$$

- (1) If  $G$  is finitely presented and  $\text{asdim } G < \infty$  then the Novikov conjecture holds for  $G$  (Yu).
- (2)  $MCG(S)$ , the mapping class group of a compact surface  $S$  has  $\text{asdim } MCG(S) < \infty$  (Bestvina-Bromberg-F).
- (3) Unknown if  $\text{asdim } \text{Out}(F_n) < \infty$ ?

## Sample results on graphs associated to surfaces

There are many graphs associated to a surface  $S$ . They tend to be hyperbolic in the sense of Gromov.

Let  $S_{g,b}$  be the compact orientable surface of genus  $g$  with  $b$  boundary components.

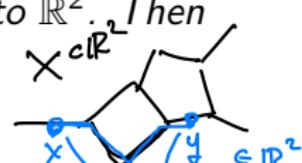
- ▶ Let  $\mathcal{C}(S_{g,b})$  be the **curve graph** of  $S_{g,b}$ .  
asdim  $\mathcal{C}(S) < \infty$  (Bell-F)  
asdim  $\mathcal{C}(S_{g,b}) \leq 4g + b - 3$  (Bestvina-Bromberg)
- ▶ Let  $\mathcal{A}(S_{g,b})$  be the **arc graph** of  $S_{g,b}$ .  
asdim  $\mathcal{A}(S_{g,b}) \leq \frac{(4g+b)(4g+b-3)}{2} - 2$ . (Schleimer-F).
- ▶ Let  $\mathcal{D}(M, S_{g,b})$  be the **disk graph** for a compression body  $M$  with an upper boundary  $S$  (for example,  $M$  is a handlebody with  $\partial M = S$ ).  
asdim  $\mathcal{D}(M, S_{g,b}) \leq \frac{(4g+b)(4g+b-3)}{2} - 2$ . (Schleimer-F).  
cf. let  $\mathcal{D}(H_g)$  be the disc graph of the genus  $g$  handle body.  
asdim  $\mathcal{D}(H_g) \leq 24(g-1)^2$  (Hamenstadt).

# Asymptotic dimension of planes and planar graphs

Theorem (F-Papasoglu)

(1) Let  $X$  be a geodesic space that is homeomorphic to  $\mathbb{R}^2$ . Then  $\text{asdim } X \leq 3$ .

(2) Let  $X$  be a planar graph. Then  $\text{asdim } X \leq 3$ .



Remark

(i) By now, Lang-Jorgensen improved it to  $\text{asdim } X \leq 2$ .

(ii) (2) easily follows from (1) by embedding  $X$  to  $\mathbb{R}^2$ .

(iii) For each  $n = 0, 1, 2, \dots, \infty$ ,  $\mathbb{R}^3$  has a (Riemannian) metric  $d$  such that  $\text{asdim}(\mathbb{R}^3, d) = n$ .

$$X \xrightarrow{\text{inj}} (\mathbb{R}^2, \exists d)$$

QI-embed.

$$\text{asdim } X \leq \text{asdim } \mathbb{R}^2 \leq 3$$

$$\text{asdim } (\mathbb{R}^3, \exists d) \geq 100$$

$$\mathbb{E}^{100} \xrightarrow{\text{QI}} \mathbb{Z}^{100} = \mathbb{R}^{100} \xrightarrow{\text{inj}} \mathbb{R}^3$$

as  $\text{asdim} = 100$

QI-embed

## Proof of the theorem

$$\text{asdim}(\mathbb{R}^2, d) \leq 3$$

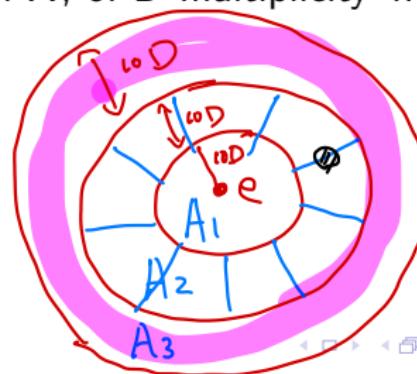
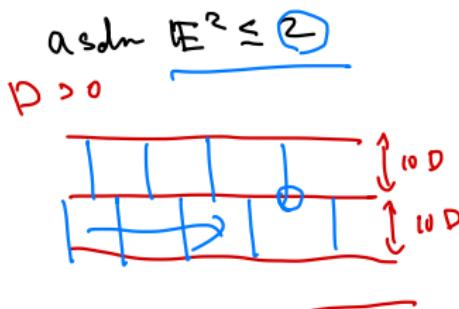
**Proof of Thm (1).** Given  $D > 0$ , we want to find a cover  $\mathcal{U}$  of  $X$  by uniformly bounded sets, whose  $D$ -multiplicity  $\leq 4$ .

Fix a base point  $e \in X$ . Define annuli

$$A_n = \{x \in X \mid 10D(n-1) \leq d(e, x) < 10Dn\}$$

Then  $X = \bigcup_{n \in \mathbb{N}} A_n$ .

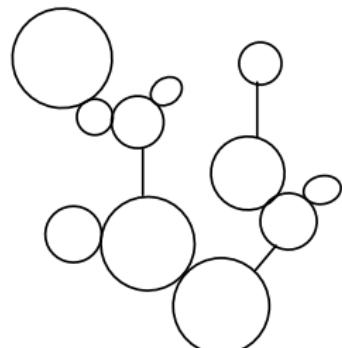
We will find a cover of each  $A_n$  by uniformly (over  $n$  as well) bounded sets, of  $D$ -multiplicity 2, ie,  $\text{asdim } A_n \leq 1$ , "uniformly". This gives a desired cover of  $X$ , of  $D$ -multiplicity 4.



# Cactus



- ▶ A **cactus** is a graph/geodesic space such that a segment is contained in at most one circle.



- ▶ Similar to a tree, if  $X$  is a cactus, then  $\text{asdim } X \leq 1$ , uniformly (over all cacti).
- ▶ If  $X$  is QI to a cactus, then  $\text{asdim } X \leq 1$ .

## Lemma (Annuli are cacti)

*The annuli  $A_n$  are uniformly QI to cacti, so that  $\text{asdim } A_n \leq 1$ , uniformly.*

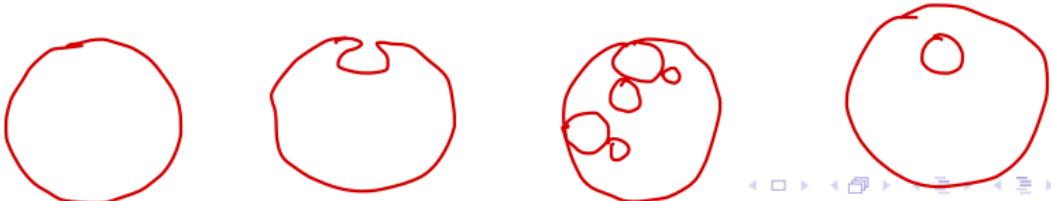
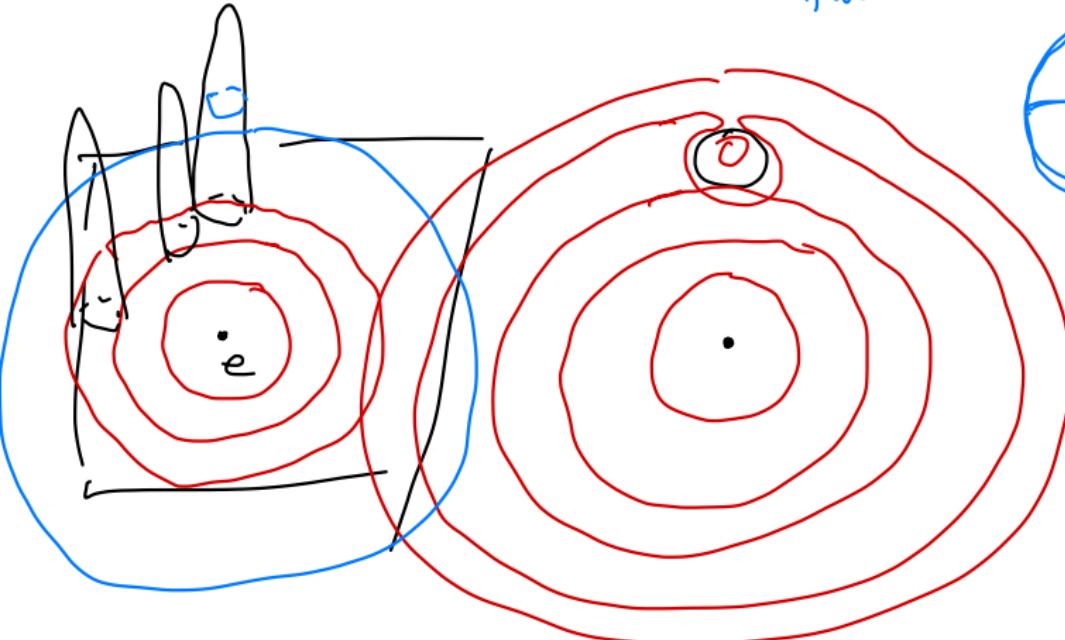
**Remark.** Strictly speaking we are looking at a connected component of each annulus.

The lemma implies  $\text{asdim } X \leq 3$ . □



# What do annuli look like?

$\theta$   $\notin A_r$   $e$   
fat



## Some questions

1. Can we characterize a geodesic space  $X$  with  $\text{asdim } X = 1$ ?

If in addition,  $H_1(X)$  is uniformly generated (ie, there is  $L > 0$  such that  $H_1(X)$  is generated by a loop of length  $\leq L$ ), then  $X$  is QI to an unbounded tree (F-Whyte).

2. It follows that if a f.p. group  $G$  has  $\text{asdim } G = 1$ , then it is virtually free. But there is a f.g. group  $G$  of  $\text{asdim } G = 1$ , which is not virtually free, for example, the “lamplighter group, which is the wreath product  $\mathbb{Z}_2 \wr \mathbb{Z}$ . Known examples are with torsions.

Is it true that a torsion free, f.g. group  $G$  of  $\text{asdim } G = 1$  is always a free group?

3. Let  $K_m$  be the complete graph with  $m$  vertices. If a graph  $\Gamma$  with finite degrees excludes  $K_m$  as a minor, then  $\text{asdim } \Gamma \leq 4^m - 1$  (Ostrovskii-Rosenthal). In particular a planar graph satisfies  $\text{asdim } \leq 4^5 - 1$ .

Can we find a QI-invariant condition for a graph  $\Gamma$  to have  $\text{asdim } \Gamma < \infty$ ?