

4-3 Other Examples

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○ phonon

a solid consisting of N atoms

i th atom: mass m_i

equilibrium position $\vec{r}_i^{(0)} = (x_{i1}^{(0)}, x_{i2}^{(0)}, x_{i3}^{(0)})$

displacement $\vec{\xi}_i = \vec{r}_i - \vec{r}_i^{(0)}$

1, 2, 3 $\rightarrow$ x, y, z

$$\xi_{i\alpha} = x_{i\alpha} - x_{i\alpha}^{(0)}$$

$\rightarrow$ kinetic energy $K = \frac{1}{2} \sum_{i=1}^N \sum_{\alpha=1}^3 m_i \dot{\xi}_{i\alpha}^2 = \frac{1}{2} \sum_{i=1}^N \sum_{\alpha=1}^3 m_i \dot{\xi}_{i\alpha}^2$

potential energy $V = V_0 + \sum_{i,\alpha} \left[\frac{\partial V}{\partial x_{i\alpha}} \right]_0 \xi_{i\alpha} + \frac{1}{2} \sum_{i,\alpha,j,\beta} \left[\frac{\partial^2 V}{\partial x_{i\alpha} \partial x_{j\beta}} \right]_0 \xi_{i\alpha} \xi_{j\beta} + \dots$

($\because V$ has a minimum value at $\vec{r}_i^{(0)}$)

$$\approx V_0 + \frac{1}{2} \sum_{i,\alpha,j,\beta} A_{i\alpha,j\beta} \xi_{i\alpha} \xi_{j\beta}$$

$\downarrow$ normal mode transformation
(diagonalization)

$$\xi_{i\alpha} = \sum_{r=1}^{3N} B_{i\alpha,r} q_r$$

"collective excitation"

total # of normal mode $\sim$ fixed as per.

$$\Rightarrow H_{\text{tot}} = K + V$$

$$= V_0 + \frac{1}{2} \sum_{r=1}^{3N} (\dot{q}_r^2 + \omega_r^2 q_r^2)$$

quantization $[a_i, a_j^\dagger] = 1 \cdot \delta_{ij}$, bosonic excitation

$$\Rightarrow E_{n_1, \dots, n_{3N}} = V_0 + \sum_{r=1}^{3N} (n_r + \frac{1}{2}) \hbar \omega_r$$

$$(H_r = \frac{1}{2} (\dot{q}_r^2 + \omega_r^2 q_r^2) \rightarrow \epsilon_r = (n_r + \frac{1}{2}) \hbar \omega_r$$

$n_r = 0, 1, 2, \dots$)

$$= -N\eta + \frac{1}{2} \sum_r \hbar \omega_r n_r$$

$\rightarrow$ binding energy per atom in the solid

$$\Rightarrow Z = \sum_{n_1, n_2, \dots} e^{-\beta [-N\eta + \frac{1}{2} \hbar \omega_1 n_1 + \frac{1}{2} \hbar \omega_2 n_2 + \dots + \frac{1}{2} \hbar \omega_{3N} n_{3N}]}$$

at $T=0$.

$$= e^{\beta N \eta} \prod_{r=1}^{3N} \left(\frac{1}{1 - e^{-\beta \hbar \omega_r}} \right)$$

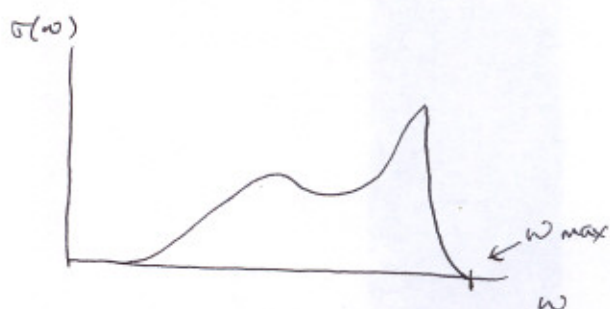
$$\Rightarrow \ln Z = \beta N q - \sum_{n=1}^{3N} \ln(1 - e^{-\beta \hbar \omega_n})$$

$$= \beta N q - \int_0^{\omega_{\max}} \ln(1 - e^{-\beta \hbar \omega}) \underbrace{\sigma(\omega)}_{\text{phonon densities of state}} d\omega$$

continuum approximation
(spacing between ω_n
 $\ll kT$)

$$\bar{E} = - \frac{\partial \ln Z}{\partial \beta} = -Nq + \int_0^{\omega_{\max}} \frac{\hbar \omega}{e^{\beta \hbar \omega} - 1} \sigma(\omega) d\omega$$

$$C_V = \left(\frac{\partial \bar{E}}{\partial T} \right)_V = -k\beta^2 \left(\frac{\partial \bar{E}}{\partial \beta} \right)_V = +k \int_0^{\omega_{\max}} \frac{e^{\beta \hbar \omega}}{(e^{\beta \hbar \omega} - 1)^2} (\beta \hbar \omega)^2 \sigma(\omega) d\omega$$



$$\sigma(\omega) = 0 \quad \text{if } \omega > \omega_{\max}$$

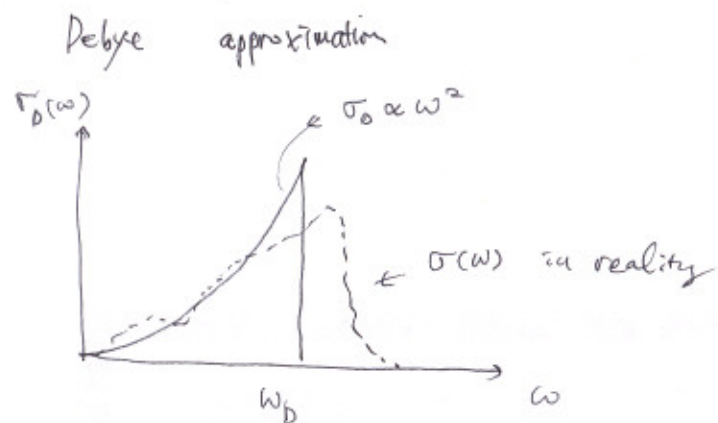
$$\text{if } \beta \hbar \omega_{\max} \gg 1$$

$$e^{\beta \hbar \omega} \approx 1 + \beta \hbar \omega$$

$$\Rightarrow \frac{e^{\beta \hbar \omega} \cdot (\beta \hbar \omega)^2}{(e^{\beta \hbar \omega} - 1)^2} \approx 1$$

$$\Rightarrow C_V = k \int_0^{\omega_{\max}} \underbrace{\sigma(\omega) d\omega}_{= 3N} = 3Nk$$

(* equipartition theorem. $\rightarrow \frac{1}{2} kT \times 2 \times 3N = \bar{E}$)



λ : normal mode (phonon) wave length
 a : lattice spacing

$\lambda \gg a \rightarrow$ phonon $\approx$ normal mode of elastic continuum.
 $\rightarrow$ Sound wave $\omega = c_s k$

$$\sigma_D(\omega) d\omega = \underbrace{3}_{\substack{2 \otimes \text{ transverse mode} \\ 1 \otimes \text{ longitudinal}}} \cdot \frac{V}{(2\pi)^3} 4\pi k^2 dk = 3 \cdot \frac{V}{2\pi^2 c_s^3} \omega^2 d\omega$$

$\lambda \lesssim a \rightarrow$ no mode

$$\Rightarrow \sigma_D(\omega) = \begin{cases} 3 \cdot \frac{V}{2\pi^2 c_s^3} \omega^2 & \text{for } \omega \leq \omega_D \\ 0 & \text{for } \omega > \omega_D \end{cases}$$

"Debye approximation"

$$\int_0^{\omega_D} \sigma_D(\omega) d\omega = 3N \Rightarrow \frac{3V}{2\pi^2 c_s^3} \int_0^{\omega_D} \omega^2 d\omega = \frac{V \omega_D^3}{2\pi^2 c_s^3} = 3N$$

$$\rightarrow \omega_D = c_s \left(6\pi^2 \frac{N}{V} \right)^{1/3}$$

$$C_V = \left(\frac{\partial E}{\partial T} \right)_V = k \int_0^\infty \frac{e^{\beta \hbar \omega}}{(e^{\beta \hbar \omega} - 1)^2} (\beta \hbar \omega)^2 \sigma(\omega) d\omega$$

$$= k \int_0^{\omega_D} \frac{e^{\beta \hbar \omega} (\beta \hbar \omega)^2}{(e^{\beta \hbar \omega} - 1)^2} \frac{3V}{2\pi^2 C_s^3} \omega^2 d\omega$$

$$= k \cdot \frac{3V}{2\pi^2 (C_s \beta \hbar)^3} \int_0^{\beta \hbar \omega_D} \frac{e^x x^3}{(e^x - 1)^2} dx \quad \downarrow \quad x = \beta \hbar \omega$$

using $\omega_D = C_s \left(6\pi^2 \frac{N}{V} \right)^{1/3}$, $(\Rightarrow V = 6\pi^2 N \cdot \left(\frac{C_s}{\omega_D} \right)^3)$

$$C_V = 3Nk f_D \left(\frac{\Theta_D}{T} \right)$$

$$\left(f_D(y) \equiv \frac{3}{y^3} \int_0^y \frac{e^x x^3}{(e^x - 1)^2} dx \right) \sim \text{Debye function}$$

$$k \Theta_D = \hbar \omega_D \sim \text{Debye temperature}$$

high temperature limit, $\frac{\Theta_D}{T} \ll 1$.

$$\Rightarrow f_D \left(\frac{\Theta_D}{T} \right) \approx \frac{3}{y^3} \int_0^y x^3 dx = 1.$$

$$\Rightarrow C_V = 3Nk \sim \text{classical result.}$$

low temperature limit, $\frac{\Theta_D}{T} \gg 1$

$$\cancel{f_D \left(\frac{\Theta_D}{T} \right)} = f_D \left(\frac{\Theta_D}{T} \right) \approx 3 \left(\frac{T}{\Theta_D} \right)^3 \cdot \underbrace{\int_0^\infty \frac{e^x x^3}{(e^x - 1)^2} dx}$$

$$= \frac{4\pi^4}{15}$$

(see Appendix A.11)

$$\Rightarrow C_V \propto T^3$$

$$(C_V = \frac{12\pi^4}{5} Nk \left(\frac{T}{\Theta_D} \right)^3)$$

$$C_V = \underbrace{\frac{3}{2} Nk}_{\text{electron contribution}} + \underbrace{r T^3}_{\text{phonon contribution}}$$

electron
contribution

phonon
contribution

② Ferromagnetism

A solid consisting of N identical atoms arranged in a regular lattice.

$\vec{S}_i$: a net electronic spin of the i th atom

$\vec{\mu}_j$: magnetic moment.

$$\vec{\mu}_j = g \mu_B \vec{S}_j$$

(g : g -factor

μ_B : Bohr magneton

external magnetic field $\vec{H}_0$

$\Rightarrow$ Hamiltonian $\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_1$

$$\mathcal{H}_0 = - \sum_{j=1}^N \vec{\mu}_j \cdot \vec{H}_0 = - g \mu_B H_0 \sum_{j=1}^N S_{jz}$$

$$\mathcal{H}_1 = - J \sum \vec{S}_j \cdot \vec{S}_k$$

$\underbrace{\hspace{1cm}}_{\text{exchange coupling:}}$

originated from the Pauli exclusion principle

(Not from magnetic dipole-dipole interaction, which is negligible compared to the exchange

(ex) two electronic spins, each at the same orbital coupling.)
of neighboring atoms

$e (\sigma = \uparrow)$
x
atomic site 1

$e (\sigma = \downarrow)$
x
atomic site 2

$\sim$ no exclusion
they can come close
to each other
 $\Rightarrow$ higher Coulomb ~~interaction~~
interaction.

$e (\sigma = \uparrow)$
x

$e (\sigma = \uparrow)$
x

$\sim$ exclusion

$$\Rightarrow \mathcal{H}_{12} = - J \vec{S}_1 \cdot \vec{S}_2$$

$$J > 0$$

$\sim$ parallel spin alignment
results in ~~an~~ lower energy.

∴ J falls off rapidly with increasing separation between atoms.

∴ overlap between electrons ↓ as the separation ↑

⇒ One can consider only the nearest-neighbor interaction

Consider only z -directional spin: "Ising model"

$$H_{12} \rightarrow H'_{12} = -J \sum_{\substack{j,k \\ \text{nearest-neighbor pair}}} S_{jz} S_{kz}$$

$$H = H_0 + H'_{12}$$

~ Ising model

- 1 dimensional Ising model ~ exactly solvable
- 2 dimensional Ising model $\oplus H_0 = 0$ ~ exactly solvable
- 3-dimensional Ising model - not yet exactly solved.

~ graduate - course level.

④ Weiss molecular-field approximation

Focus on a particular atom j .

$$H_j = -g\mu_0 H_0 S_{jz} - 2J S_{jz} \sum_{k=1}^n S_{kz}$$

n : # of nearest neighboring atoms.

$$g\mu_0 H_m \equiv 2J \sum_{k=1}^n S_{kz}$$

as an approximation. "mean field theory"

~ "molecular" field

$$\Rightarrow H_j = -g\mu_0 (H_0 + H_m) S_{jz}$$

$$\Rightarrow E_m = -g\mu_0 (H_0 + H_m) m_s,$$

$$m_s = -S, (-S+1), (-S+2), \dots, S$$

$$\Rightarrow \bar{S}_{jz} = \frac{1}{g\mu_0} \bar{\mu}_{jz} = \frac{1}{g\mu_0} \frac{1}{\beta} \frac{\partial \ln Z_j}{\partial H_0}$$

$$Z_j = \sum_{m_s=-S}^S e^{-\beta E_m(m_s)},$$

$$\Rightarrow \bar{S}_{jz} = S B_S(\eta)$$

$$\eta \equiv \beta g\mu_0 (H_0 + H_m)$$

$$B_S(\eta) \equiv \frac{1}{S} \left[\left(S + \frac{1}{2} \right) \coth \left(S + \frac{1}{2} \right) \eta - \frac{1}{2} \coth \frac{1}{2} \eta \right]$$

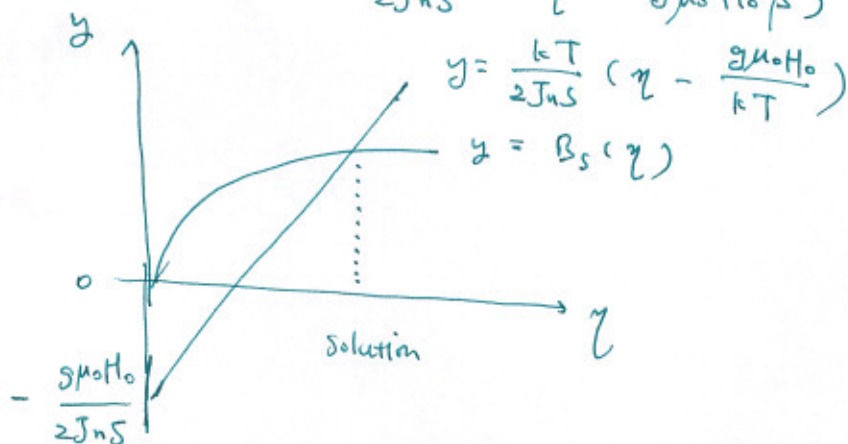
see Reif eq. (7.8.14)

Put this result into $g\mu_0 H_m \equiv 2J \sum_{k=1}^n S_{kz}$

$$\Rightarrow 2Jn S B_S(\eta) = g\mu_0 H_m.$$

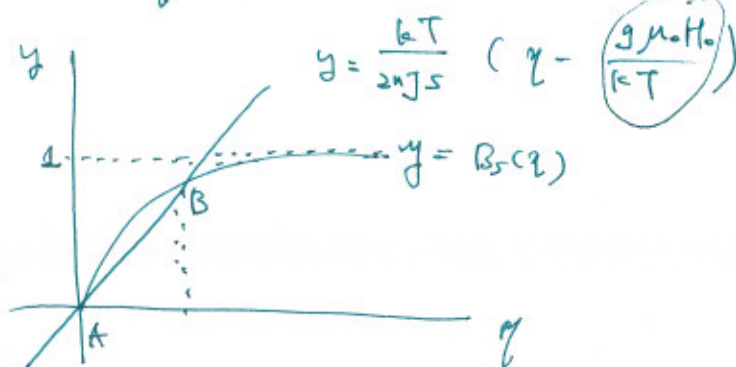
$$= kT (\eta - \beta g\mu_0 H_0)$$

$$\Rightarrow B_S(\eta) = \frac{kT}{2JnS} (\eta - \beta g\mu_0 H_0)$$



Consider:
why do we use
the canonical partition function
for the fermionic
systems?

① the case of $H_0 = 0$



two solutions A and B.

A: no magnetization

B: spontaneous magnetization even at $H_0 = 0$
 (no external field)
 → ferromagnetism

To have the solution B,

$$\left. \frac{dB_S(\eta)}{d\eta} \right|_{\eta=0} > \frac{kT}{2nJS}$$

When $\eta \ll 1$, $B_S(\eta) \approx \frac{1}{3}(S+1)\eta$

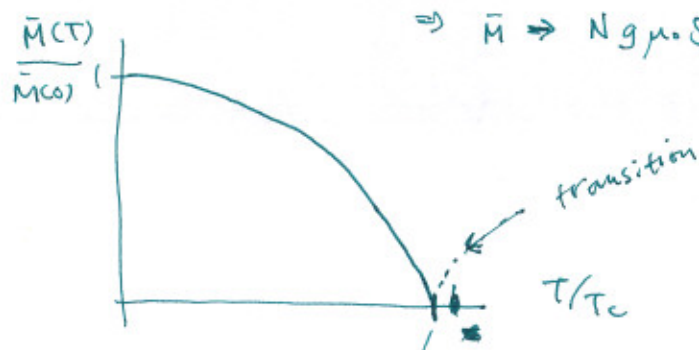
$$\Rightarrow \frac{1}{3}(S+1) > \frac{kT}{2nJS}$$

$$\Rightarrow kT < kT_c = \frac{2nJS(S+1)}{3}$$

~ possibility of ferromagnetism below T_c , "Curie temperature"

When $T \rightarrow 0$ ($\eta \rightarrow \infty$), $B_S(\eta) \rightarrow 1$

$$\Rightarrow \bar{M} \rightarrow Ng\mu_0 S$$



① (At small H_0) $\Rightarrow \eta \sim \text{small}$
 above $T > T_c$

$$\Rightarrow \frac{1}{3}(S+1)\eta = \frac{kT}{2nJS} \left(\eta - \frac{g\mu_0 H_0}{kT} \right)$$

$$\left(\text{from } B_S(\eta) = \frac{kT}{2nJS} \left(\eta - \frac{g\mu_0 H_0}{kT} \right), B_S(\eta) \rightarrow \frac{1}{3}(S+1)\eta \right)$$

$$\Rightarrow \text{using } kT_c \equiv \frac{2nJS(S+1)}{3}$$

$$\eta = \frac{g\mu_0 H_0}{k(T - T_c)}$$

$$\bar{M} = \frac{1}{3} N g \mu_0 S(S+1) \eta \quad (\because \bar{M} = N g \mu_0 \bar{S}_z, \bar{S}_z = S B(\eta))$$

$$\Rightarrow \chi = \frac{\bar{M}}{H_0} = \frac{N g^2 \mu_0^2 S(S+1)}{3k(T - T_c)}, \text{ magnetic susceptibility}$$

$\sim$ "Curie-Weiss" law

$$\chi \rightarrow \infty \text{ as } T \rightarrow T_c.$$

⌘ Experimental results show different T_c , i.e. $T_c \neq \frac{2nJS(S+1)}{3}$
 (The above results are not so correct.)

$\therefore$ the crude approximation
 $\swarrow$
 mean-field

⌘ discuss Goldstone mode

low energy excitation: magnon

2D Skyrmion

