

# Introduction to Cosmology

## Basic units

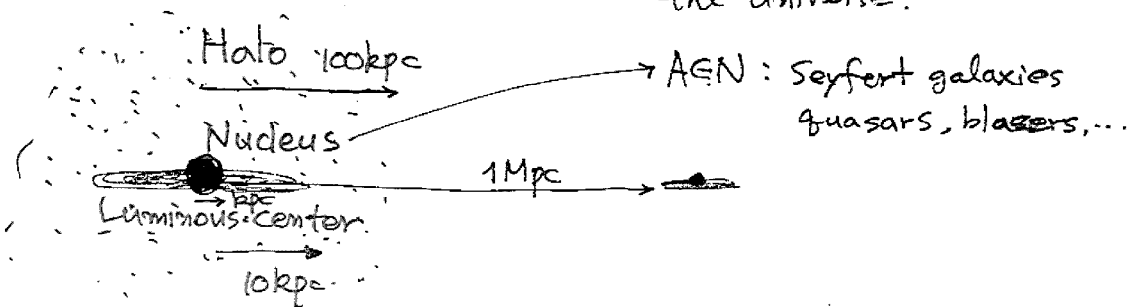
$$\hbar = c = k_B = 1$$

$$1 \text{ pc} = 3.26 \text{ light years} = 3.09 \times 10^{16} \text{ m}$$

$$M_{\odot} = 1.99 \times 10^{33} \text{ g}$$

## Building blocks

- Stars :  $1 - 10 M_{\odot}$
- Galaxies :  $10^6 M_{\odot} - 10^{12} M_{\odot}$  basic building blocks of the universe.



- Clusters (Group) of galaxies : 2 - 1000 galaxies
- Superclusters :  $\sim 100 \text{ Mpc}$   
sheets, filaments & voids
- Above 100 Mpc : Homogeneous distribution of matter
- Observable universe :  $\sim 10^4 \text{ Mpc}$
- Beyond the observable universe

The universe observed

Observational basis for the standard cosmology

- The expansion of the universe  
Hubble constant  $H_0$   
Deceleration parameter  $q_0$
- The age of the universe  $t_0$
- The matter content of the universe  
 $\Omega_i, i = M(B, DM), \Lambda, R, \dots$
- CBR : CMB, IR, UV, RW, X,  $\gamma$ ,  $\nu$ , CR, ...
- The abundances of light elements : D,  $^3\text{He}$ ,  $^4\text{He}$ ,  $^7\text{Li}$
- The distribution of galaxies.

① The expansion

- luminosity distance - red shift
- angular diameter - "
- galaxy count - "

luminosity distance  $d_L = \left( \frac{L}{4\pi F_L} \right)^{1/2}$   
 Red shift  $z = \frac{\lambda_m}{\lambda_0} - 1$

"known" absolute luminosity  
 "measured" flux  
 measured wavelength  
 original "

$$H_0 d_L = z + \frac{1}{2} (1 - q_0) z^2 + \dots$$

$H_0$  → Hubble constant  
 $H_0 = 100 h \text{ km/s/Mpc}$   
 $H_0^{-1}$  → cosmic scale  
 Hubble time  $9.78 h^{-1} \times 10^9 \text{ yr}$   
 Hubble distance  $3000 h^{-1} \text{ Mpc}$

$q_0$  → Deceleration parameter  
 → Matter content

$$q_0 = \sum_i \Omega_i \frac{1+3w_i}{2}$$

$H_0^{-1}$  → cosmic scale  
 Hubble time  $9.78 h^{-1} \times 10^9 \text{ yr}$   
 Hubble distance  $3000 h^{-1} \text{ Mpc}$

② Large scale isotropy & homogeneity

- Uniformity of CMB temperature

$$T_0 = 2.726 \pm 0.01 \text{ K}$$

$$\Delta T_{\text{dipole}} = 3.365 \pm 0.027 \text{ mK}$$

$$\Delta T/T \approx 10^{-5}$$

⇒ At last scattering of CMB, the universe was highly isotropic & homogeneous.

- Distribution of galaxies
- Isotropy of X-ray background
- Peculiar velocity field

③ The age of the universe

- The expansion rate of the universe
- Dating the oldest stars in globular clusters
- Dating the radio active elements
- The cooling of white dwarf stars
- The cooling of hot gas in clusters

$$10 \text{ Gyr} \lesssim t_0 \lesssim 20 \text{ Gyr}$$

$$t_0 = H_0^{-1} f(\Omega_i)$$

$H_0^{-1} = 9.7 \text{ h}^{-1} \text{ Gyr}$	
Model	$f(\Omega_i)$
$\Omega_T = 0$	1
$\Omega_T = \Omega_M = 1$	$\frac{2}{3}$
$\Omega_M = 0.2 \Omega_T$	

④ CMB

- The last scattering surface  
 $z \sim 1100, \quad t \sim 180,000 (\Omega_{0h^2})^{-1/2} \text{ yr}$
- Spectrum: Blackbody, with  $T = 2.726 \pm 0.01 \text{ K}$
- Anisotropy: Variation in the CMB temperature in different directions.
  - the motion of our local reference frame (the earth) w.r.t the cosmic rest frame.
  - rotation of the universe
  - anisotropic universe
  - the presence of density inhomogeneity

$\Delta T_{\text{dipole}} = 3.365 \pm 0.027 \text{ mK}$

$\rightarrow v_{\text{local}} = 627 \pm 22 \text{ km/s}$  to  $\begin{cases} RA = 166^\circ \pm 3^\circ \\ DEC = -22.1 \pm 3^\circ \end{cases}$

$\Delta T_Q = 11 \pm 3 \mu\text{K}$

$(\Delta T/T)_{\text{rms}} = 10^{-5}$

$\rightarrow$  Powerful test of structure formation theories.

⑤ Light element abundances

- Nucleosynthesis

$t \approx 0.01 - 100 \text{ sec}, \quad T \approx 10 \text{ MeV} - 0.1 \text{ MeV}$

D :  $D/H = \text{few} \times 10^{-5}$  ← No known astrophysical processes can account for these values.

$^3\text{He}$  :  $^3\text{He}/H \approx \text{few} \times 10^{-5}$

$^4\text{He}$  :  $Y = ^4\text{He}/N \approx 0.25$   $\Rightarrow$  only in "Nucleosynthesis"

$^7\text{Li}$  :  $^7\text{Li}/H \approx 1-2 \times 10^{-10}$

- $\rightarrow$  Determination of baryon density

$\eta = (4-7) \times 10^{-10}, \quad 0.015 \leq \Omega_{\text{BH}}^2 \leq 0.026$

- Constraint on the light species

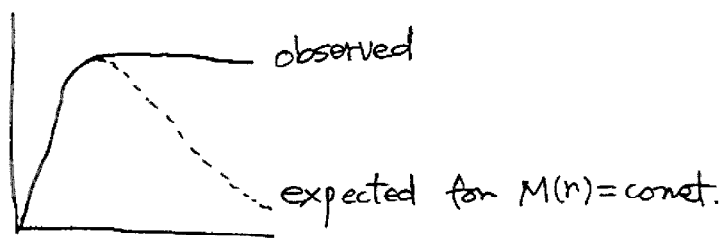
⑥ The matter density

$$\Omega_{LUM} \approx 0.01$$

$$\Omega_{Halo} \approx 0.1 \quad \leftarrow \text{rotation curve}$$

• rotation curve

$$\frac{GM(r)m}{r^2} = \frac{mv^2}{r}, \quad v^2 = \frac{GM(r)}{r}$$



•  $\Omega$  problem

$$\Omega_{LUM} < \Omega_B < \Omega_M$$

⑦ Large scale structure

• Hierarchy : stars - nebula - Ly $\alpha$  absorber  
galaxies - clusters - superclusters, voids, walls

• Galaxy catalogs

• structure formation

## One page review of General Relativity

Newtonian gravity : Gravitational potential = Matter (mass)

General relativity : Geometry = Matter (energy)

metric  
 $g_{\mu\nu}$

EM tensor  
 $T_{\mu\nu}$

→ Einstein equation  $G_{\mu\nu} = \kappa^2 T_{\mu\nu}$

Einstein T  $G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu}$

↑  
 $\delta \pi G$

Ricci T  $R_{\mu\nu} = R^\lambda{}_{\mu\lambda\nu}$

Riemann T (Curvature)  $R^\rho{}_{\sigma\mu\nu} = \partial_\mu \Gamma^\rho{}_{\nu\sigma} - \partial_\nu \Gamma^\rho{}_{\mu\sigma} + \Gamma^\rho{}_{\mu\lambda} \Gamma^\lambda{}_{\nu\sigma} - \Gamma^\rho{}_{\nu\lambda} \Gamma^\lambda{}_{\mu\sigma}$

RC symbols (Connection)  $\Gamma^\sigma{}_{\mu\nu} = \frac{1}{2} g^{\sigma\rho} (\partial_\mu g_{\nu\rho} + \partial_\nu g_{\rho\mu} - \partial_\rho g_{\mu\nu})$

Einstein equation can be derived from the EH action

$$S = \int d^4x \sqrt{-g} (\kappa^2 R + \mathcal{L}_M)$$

Prob. 1. Derive Einstein equation from the EH action.

• Geodesic equation :  $\frac{d^2 x^\mu}{d\tau^2} + \Gamma^\mu{}_{\rho\sigma} \frac{dx^\rho}{d\tau} \frac{dx^\sigma}{d\tau} = 0$

Path of freely falling particle.

• Isometry and Killing vector

Symmetry of manifold (spacetime)  $\xi_{\mu;\nu} + \xi_{\nu;\mu} = 0$ .

maximally symmetric space :  $\frac{n(n-1)}{2}$  Killing vectors

2D example :  $E^2, S^2, H^2$

## FRW universe

Copernican principle : the universe is pretty much the same "everywhere".

### Observational facts

- The distribution of matter and radiation in the "observable universe" is homogeneous & isotropic.

- The universe is "not" static: distant galaxies are receding from us.

→ Our local <sup>Hubble</sup> volume during Hubble time

~ spacetime with homogeneous and isotropic spatial sections.

$$M = \mathbb{R} \times \Sigma \quad \leftarrow \text{Maximally symmetric, 3D}$$

### RW metric

$$ds^2 = -dt^2 + a^2(t) \left[ \frac{dr^2}{1-kr^2} + r^2(d\theta^2 + \sin^2\theta d\phi^2) \right]$$

$$k = -1 \quad \text{open} \quad H^3$$

$$k = 0 \quad \text{flat} \quad E^3$$

$$k = +1 \quad \text{closed} \quad S^3$$

Note: The assumption of local homogeneity and isotropy only implies that the spatial metric is locally  $S^3$ ,  $H^3$  or  $R^3$  and can have different ~~global~~ global properties.

### Kinematics of RW metric

• Particle horizon

Consider the light propagation in RW metric,  $ds^2=0$ .

$$\int_0^t \frac{dt}{a(t)} = \int_0^{r_H} \frac{dr}{\sqrt{1-kr^2}}$$

The proper distance to the horizon

$$d_H(t) = \int_0^{r_H} \sqrt{g_{rr}} dr = a(t) \int_0^t \frac{dt'}{a(t')}$$

• Freely falling particle

$u^\mu \equiv \frac{dx^\mu}{ds}$  "Peculiar velocity"  
4-velocity w.r.t. the comoving frame

$$\frac{du^\mu}{ds} + \Gamma^\mu_{\nu\lambda} u^\nu u^\lambda = 0$$

$$\mu=0: \frac{du^0}{ds} + \Gamma^0_{\mu\lambda} u^\mu u^\lambda = \frac{du^0}{ds} + \frac{\dot{a}}{a} |\vec{u}|^2 = 0.$$

Prob. 2. For RW metric, verify

$$\Gamma^0_{ij} = \frac{\dot{a}}{a} g_{ij}, \quad \Gamma^i_{0j} = \Gamma^i_{j0} = \frac{\dot{a}}{a} \delta^i_j, \quad \Gamma^i_{jk} = ??$$

$$u^2 = (u^0)^2 - |\vec{u}|^2 = 1, \quad u^0 du^0 = |\vec{u}| d|\vec{u}| \quad \left( u^0 |\vec{u}| \frac{d|\vec{u}|}{ds} + \frac{\dot{a}}{a} |\vec{u}|^2 \right)$$

$$\frac{|\dot{\vec{u}}|}{|\vec{u}|} = -\frac{\dot{a}}{a} \quad \rightarrow \quad |\vec{u}| \propto a^{-1}$$

$\uparrow \frac{dt}{ds} \quad \swarrow \frac{d|\vec{u}|}{dt}$

The magnitude of the 3-momentum of a freely falling particle red shifts as  $a^{-1}$ .

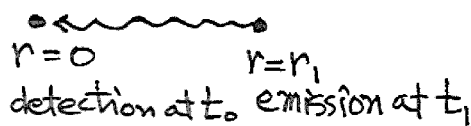
This is also true for massless particles.

$$\frac{\lambda_0}{\lambda_g} = \frac{a(t_0)}{a(t_g)} \equiv 1+z \leftarrow \text{red shift parameter}$$



• Hubble's law

A source (e.g. galaxy) with absolute luminosity  $\mathcal{L}$



Luminosity distance

$$d_L^2 \equiv \frac{\mathcal{L}}{4\pi F_1} \leftarrow \text{measured flux}$$

$$F_1 = \frac{\mathcal{L}}{4\pi (a(t_0)r_1)^2 (1+z)^2}$$

- red shift of photon
- increased time interval between detection

$$d_L^2 = [a(t_0)r_1]^2 (1+z)^2$$

With the knowledge of  $a(t)$ , we can express  $d_L$  i.t.a.  $z$ .  
Taylor expansion gives

$$H_0 d_L = z + \frac{1}{2}(1 - q_0) z^2 + \dots$$

$$H_0 = \frac{\dot{a}_0}{a_0}, \quad q_0 = -\frac{a_0 \ddot{a}_0}{\dot{a}_0^2} = \Omega_0 \sum_i \left( \frac{1+3w_i}{2} \right)$$

- Galax count - red shift relationship
- Angular diameter - red shift relationship

## Dynamics of RW metric

Einstein equation

$$G_{\mu\nu} = \kappa^2 T_{\mu\nu}$$

LHS: RW metric

Connection  $\Gamma^0_{ij} = a\dot{a}\tilde{g}_{ij}$ ,  $\Gamma^i_{0j} = \frac{\dot{a}}{a}\delta^i_j$ ,  $\Gamma^i_{jk} = \tilde{\Gamma}^i_{jk}$

Ricci tensor  $R_{00} = -3\frac{\ddot{a}}{a}$ ,  $R_{ij} = (a\ddot{a} + 2\dot{a}^2 + 2k)\tilde{g}_{ij}$

Ricci scalar  $R = 6\left(\frac{\ddot{a}}{a} + \frac{\dot{a}^2}{a^2} + \frac{k}{a^2}\right)$

RHS: Matter content of the universe.

To be consistent with the symmetries of metric

$T_{\mu\nu}$  must be diagonal and  $T_{11} = T_{22} = T_{33}$

→ Energy-momentum tensor of perfect fluid.

$$T_{\mu\nu} = (p + \rho)U_\mu U_\nu + p g_{\mu\nu}$$

$\rho, p$ : functions of  $t$  only

$U_\mu = (1, 0, 0, 0)$  fluid at rest in comoving frame.

(i)  $3\left(\frac{\dot{a}^2}{a^2} + \frac{k}{a^2}\right) = \kappa^2 \rho$  "Friedmann equations"

(ii)  $2\frac{\ddot{a}}{a} + \frac{\dot{a}^2}{a^2} + \frac{k}{a^2} = -\kappa^2 p$

Prob. 3 Verify Ricci tensor and Friedmann equations.

Prob 4. Derive the conservation equation  $T_{\mu\nu}{}^{;\mu} = 0$  and discuss its physical meaning.

$$T_{\mu\nu}{}^{;\nu} = 0 \longrightarrow \dot{\rho} + 3\frac{\dot{a}}{a}(\rho + p) = 0.$$

We have two independent equations for three unknowns  $a$ ,  $\rho$  &  $p$ . So we need one more equation:

= Matter dynamics

= The equation of state enters here.  $p = p(\rho)$

Basic equations for dynamical cosmology

① Friedmann eq.  $\frac{\dot{a}^2}{a^2} + \frac{k}{a^2} = \frac{\kappa^2}{3} \rho$

② EM conservation eq.  $\dot{\rho} + 3\frac{\dot{a}}{a}(\rho + p) = 0.$

③ Equation of state  $p = p(\rho)$

Most kinds of matter content of our interest can be described by the simple equation of state  $p = \omega\rho$ .

EM conservation eq.  $\longrightarrow \rho \propto a^{-3(1+\omega)}$

$\omega = \frac{1}{3}$ ,  $p = \frac{1}{3}\rho$  Radiation  $\rho \propto a^{-4}$

$\omega = 0$ ,  $p = 0$  Matter  $\rho \propto a^{-3}$

$\omega = -1$ ,  $p = -\rho$  Vacuum energy  $\rho = \text{const.}$   
(cosmological constant)

Note 1. Particle physics enters cosmology through matter dynamics, the matter content of the universe.

Note 2. The existence of Big Bang.

$$\frac{\ddot{a}}{a} = -\frac{\kappa^2}{6}(\rho + 3p)$$

$\rho + 3p > 0 \implies a=0$  must have reached at some finite time in the past.

(Weak energy condition)

The expansion rate of the universe

Hubble parameter  $H(t) \equiv \frac{\dot{a}(t)}{a(t)}$

Note: The Hubble constant is the present value of Hubble parameter.

Note:  $H^{-1}$ , Hubble time and length  
time scale for the expansion.

The critical density  $\rho_c \equiv \frac{3H^2}{8\pi G}$

The ratio of density to the critical density.

$\Omega_i \equiv \frac{\rho_i}{\rho_c}$  Density parameter

Correspondence between the signs of  $k$  and  $\Omega - 1$

$\frac{\dot{a}^2}{a^2} + \frac{k}{a^2} = \frac{8\pi G}{3} \rho \rightarrow \frac{k}{H^2 a^2} = \frac{\rho}{\rho_c} - 1 = \Omega - 1.$

$\Omega > 1 \iff k = +1$  closed

$\Omega = 1 \iff k = 0$  flat

$\Omega < 1 \iff k = -1$  open

Note: At early times, the curvature term is negligible.

$\frac{k}{a^2} \propto a^{-2} \ll \frac{8\pi G}{3} \rho \propto a^{-3} \text{ or } a^{-4} \text{ as } a \rightarrow 0.$

So, the Friedmann equation at early time is

$H^2 = \frac{8\pi G}{3} \rho$

Note: Behavior of  $\Omega - 1$

$\Omega - 1 = \frac{k}{H^2 a^2} \propto \frac{1}{\rho a^2} \propto \begin{cases} a & \text{for MD} \\ a^2 & \text{for RD} \end{cases}$

$|\Omega - 1| \approx \begin{cases} (1+z)^{-1} & \text{MD} \\ 10^4 (1+z)^{-2} & \text{RD} \end{cases}$

spatial curvature,  $\exists R^{(3)} = \frac{6k}{a^2} = 6H^2(\Omega - 1)$   
 radius of curvature  $R_{cur}^{(3)} \equiv a|k|^{-1/2} = \frac{H^{-1}}{|\Omega - 1|^{1/2}}$

- At earlier epoch, the universe was nearly critical.
- The universe close to critical density is very flat.

Integration of Friedmann equation.

$$\left(\frac{\dot{a}}{a}\right)^2 + \underbrace{\left(\frac{k}{a^2}\right)}_{H_0^2(\Omega_0 - 1)} = \frac{\kappa^2}{3} \sum_i \rho_i = \frac{\kappa^2}{3} \sum_i \rho_{i0} \left(\frac{a}{a_0}\right)^{-3(1+\omega_i)}$$

$$\rho_i = \omega_i \rho_i \rightarrow \left(\frac{\rho_i}{\rho_{i0}}\right) = \left(\frac{a}{a_0}\right)^{-3(1+\omega)}$$

$$\left(\frac{\dot{a}}{a_0}\right)^2 = H_0^2 \left[ 1 - \Omega + \sum_i \Omega_i \left(\frac{a}{a_0}\right)^{-1-3\omega_i} \right]$$

$$H_0 t = \int_0^{a/a_0} \left[ 1 - \Omega + \sum_i \Omega_i x^{-1-3\omega_i} \right]^{-1/2} dx.$$

The age of the universe

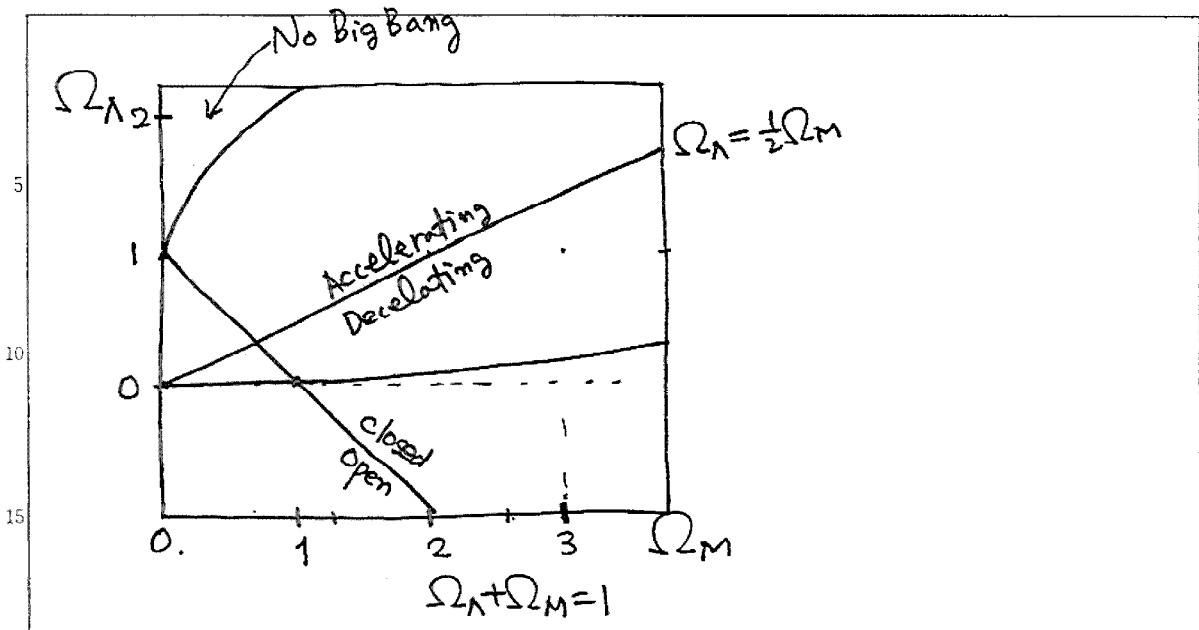
$$H_0 t_0 = \int_0^1 \left[ 1 - \Omega + \sum_i \Omega_i x^{-1-3\omega_i} \right]^{-1/2} dx \equiv f(\Omega_i)$$

Scale factor as a function of time can be obtained by solving  $H_0 t$  for  $a/a_0$ .  $a \propto t^{2/3(1+\omega)}$  ( $\omega \neq -1$ ),  $e^{H_0 t}$  ( $\omega = -1$ )  
 $t^{2/3}$  (MD),  $t^{1/2}$  (RD)

Currently favored values

$$\Omega = 1, \quad \Omega_\Lambda = 0.7 - 0.8, \quad \Omega_M = 0.2 - 0.3$$

( $\Omega_R \approx 10^{-4}$ )



Prob. 5. Identify the lines in the figure and draw the contour lines for constant  $t_0$ .

Note. The age of the present universe provides a very powerful constraint on  $\Omega$ .

Prob. 6. Determine the relationship between the luminosity distance  $d_L$  and redshift  $z$ , as a function of the cosmological parameters  $\Omega_M, \Omega_\Lambda$ . To what order in  $z$  should we go to determine independently those parameters

prob 7. Calculate the horizon distance  $d_H(t)$  as a function of the cosmological parameters.

Equilibrium thermodynamics

- Direct evidence for a hot early universe - CMB  
isotropic, accurate blackbody spectrum with  $T \approx 3K$ .

→ The early universe  
Hot. ideal gas in thermal equilibrium

- Distribution function in thermal equilibrium  $f(\vec{p}) = \frac{1}{e^{(E-\mu)/T} \pm 1}$  + FD  
- BE

Number density ↑ chemical potential ↑ Temperature

$$n = \int \frac{g d^3\vec{p}}{(2\pi)^3} f(\vec{p}) = \frac{g}{2\pi^2} \int_m^\infty \frac{(E^2 - m^2)^{1/2} E}{e^{(E-\mu)/T} \pm 1} dE$$

Energy density

$$p = \int \frac{g d^3\vec{p}}{(2\pi)^3} E(\vec{p}) f(\vec{p}) = \frac{g}{2\pi^2} \int_m^\infty \frac{(E^2 - m^2)^{1/2} E^2}{e^{(E-\mu)/T} \pm 1} dE$$

Pressure

$$P = \int \frac{g d^3\vec{p}}{(2\pi)^3} \frac{|\vec{p}|^2}{3E} f(\vec{p}) = \frac{g}{6\pi^2} \int_m^\infty \frac{(E^2 - m^2)^{3/2}}{e^{(E-\mu)/T} \pm 1} dE$$

Relativistic, Non-degenerate  $T \gg m, T \gg \mu$

$$n = \left[\frac{3}{4}\right] \frac{\zeta(3)}{\pi^2} g T^3, \quad p = \left[\frac{1}{8}\right] \frac{\pi^2}{30} g T^4, \quad p = \frac{1}{3} p$$

Non-relativistic,  $m \gg T$

$$n = g \left(\frac{mT}{2\pi}\right)^{3/2} e^{-(m-\mu)/T}$$

$$p = mn + \frac{3}{2} p$$

$$p = nT \ll p$$

The total energy density : The energy density of nonrelativistic species is exponentially smaller than that of relativistic species

$$\rho_R = \frac{\pi^2}{30} g_* T^4$$

$$P_R = \frac{1}{3} \rho_R$$

$$g_* = \sum_{\text{bosons}} g_B + \frac{7}{8} \sum_{\text{fermions}} g_f$$

$\left(\frac{T_i}{T}\right)^4$   $\left(\frac{T_i}{T}\right)^4$  if  $T_i \neq T$ .

**Entropy**

The entropy in a comoving volume is conserved in thermal equilibrium.

→ Entropy is a useful fiducial quantity during expansion.

$$s = \frac{\rho + P}{T}$$

Entropy in the early universe is dominated by relativistic pfs.

$$S = \frac{2\pi^2}{45} g_* T^3 \quad \left( \text{cf. relation to } n_r; S = \frac{\pi^4}{45\zeta(3)} g_* n_r \right)$$

Uses of entropy conservation

① Number density  $n \propto a^{-3}$ ,  $S \propto a^{-3}$

$Y \equiv \frac{n}{S}$  is convenient to represent the abundances for decoupled particle.

② The evolution of temperature of the universe

$$S \propto g_* T^3 a^3 = \text{const.}$$

$$\underline{T \propto g_*^{-1/3} a^{-1}}$$



## Thermal history of the universe

- Thermal equilibrium : The universe has for much of its history been very nearly in thermal equilibrium.
- Departure from thermal equilibrium  
Makes fossil records of the universe.

- Rule of thumb for thermal equilibrium.

$$\begin{array}{ccc} \text{Interaction rate} & & \text{Expansion rate} \\ \Gamma_{\text{int}} & > & H \end{array}$$

$$\Gamma_{\text{int}} \equiv n \langle \sigma |v| \rangle = \Gamma_{\text{int}}(T)$$

Note: For  $\Gamma_{\text{int}} = aT^n$  ( $n > 2$ )

$$N_{\text{int}} = \int_t^{\infty} \Gamma_{\text{int}}(t') dt' = \frac{(\Gamma/H)|_t}{n-2}$$

A particle interacts less than once after  $\Gamma=H$

- Rough understanding of decoupling

① interaction mediated by a massless gauge boson

$$\sigma \sim \frac{\alpha^2}{s}, \quad \alpha = \frac{g^2}{4\pi}$$

$$\Gamma \sim n \langle \sigma |v| \rangle \sim T^3 \cdot \frac{\alpha}{T^2} = \alpha^2 T$$

$$H \sim T^2/M_p$$

$$\Gamma/H \sim \alpha^2 M_p/T$$

$$T > \alpha^2 M_p \sim 10^{16} \text{ GeV}, \quad \Gamma/H \geq 1$$

$$T < \alpha^2 M_p, \quad \text{frozen}$$

② interaction mediated by a massive gauge boson

$$\sigma \sim G_x^2 S, \quad G_x \sim \frac{\alpha}{m_x}$$

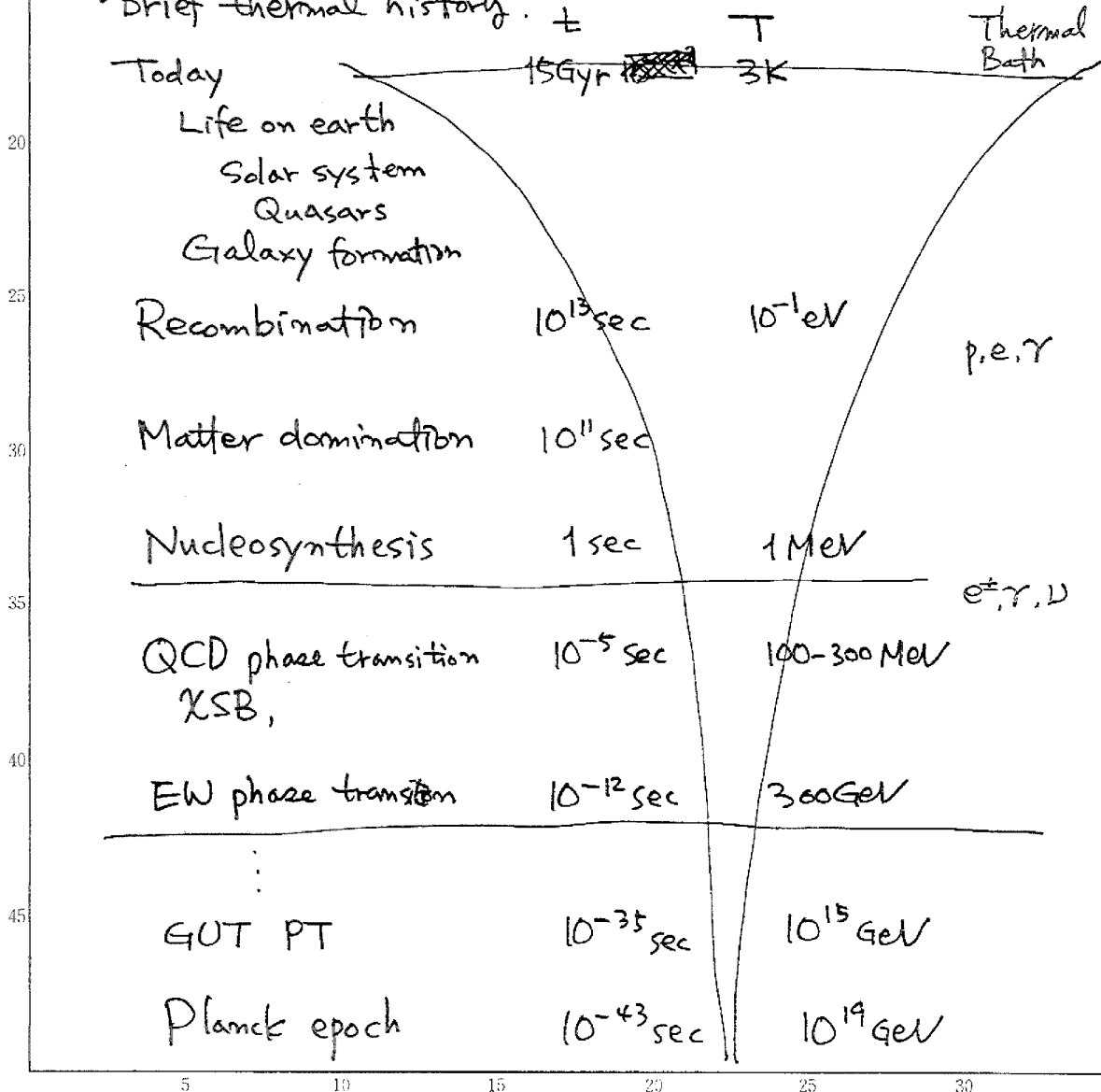
$$\Gamma \sim n \langle \sigma | v | \rangle \sim T^3 \cdot G_x^2 T^2 = G_x^2 T^5$$

$$\Gamma/H \sim G_x^2 M_p T^3$$

$$m_x \gtrsim T \gtrsim G_x^{-2/3} M_p^{-1/3} \sim \left( \frac{m_x}{100 \text{ GeV}} \right)^{4/3} \text{ MeV} \rightarrow \Gamma/H \gtrsim 1$$

$T \lesssim \text{ " } \text{ freeze out.}$

• Brief thermal history.  $t$



• The electroweak phase transition

$SU(2) \times U(1)$  symmetry is restored above  $T_c \sim 100 \text{ GeV}$ .

Around  $T_c$ , phase transition occurs  $\langle \phi \rangle = 0 \rightarrow \langle \phi \rangle \neq 0$ .

Consequences of PT.

- 1st order — bubble creation
- 2nd order — smooth transition
- Topological defects.

(SM : 2nd order  
MSSM : ?

Electroweak baryogenesis

• The quark-hadron transition

$T \sim \Lambda_{\text{QCD}} \sim 100 \text{ MeV}$   
thought to be 2nd order

• Neutrino decoupling

interaction  $e^+e^- \leftrightarrow \nu\bar{\nu}$

$$\sigma \approx G_F^2 s$$

$$\Gamma_{\text{int}} = n \langle \sigma |v| \rangle \approx G_F^2 T^5$$

$$\frac{\Gamma_{\text{int}}}{H} \approx \left( \frac{G_F^2 T^5}{T^2/M_p} \right) \approx \left( \frac{T}{1 \text{ MeV}} \right)^3$$

• Neutrino temperature,  $e^\pm$  annihilation

$$S = g_* T^3 = \text{const}$$

before  $e^\pm$  annihilation      after  $e^\pm$  annihilation

$$g_* = \frac{11}{2}$$

$$g_* = 2$$

$$\frac{11}{2} T_b^3 = 2 T_f^3$$

$$\downarrow$$

$$T_\nu$$

$$\downarrow$$

$$T_r$$

$$\left( \frac{T_r}{T_\nu} \right) = \left( \frac{11}{4} \right)^{1/3} \approx 1.40.$$

interaction  $n \leftrightarrow p e \bar{\nu}_e$

$$\frac{n}{p} = e^{-\Delta m/T}, \quad \Delta m = m_n - m_p = 1.3 \text{ MeV}$$

$$\approx 1/6 \quad \text{at decoupling}$$

$$\rightarrow 1/\eta \quad \text{at } T = 0.1 \text{ MeV due to neutron decay}$$

• Nucleosynthesis

Equilibrium number density of nuclear species

A: mass number

Z: charge

Kinetic equilibrium

$$n_A = g_A \left( \frac{m_A T}{2\pi} \right)^{3/2} e^{(\mu_A - m_A)/T}$$

↑ chemical potential.

Chemical equilibrium

$$\mu_A = Z \mu_p + (A-Z) \mu_n$$

proton chemical potential      neutron chemical potential

$$n_A^{EQ} = \frac{g_A A^{3/2}}{2^A} \left( \frac{2\pi}{m_N T} \right)^{3(A-1)/2} n_p^Z n_n^{(A-Z)} e^{B_A/T}$$

mass fraction

$$X_A^{EQ} = \frac{g_A (3)^{A+1} 2^{(3A-5)/2}}{\pi^{(A-1)/2}} A^{3/2} \eta^{A-1} \left( \frac{T}{m_N} \right)^{3(A-1)/2} X_p^Z X_n^{A-Z} e^{B_A/T}$$

$$\eta = \frac{n_N}{n_\gamma} = 2.68 \times 10^{-8} (\Omega_B h^2)$$

$$\sim 10^{-10} - 10^{-9}$$

To determine whether a given set of nuclei will actually be in thermal equilibrium at a given epoch in the early universe, one has to take the rates of the relevant nuclear reactions from accelerator experiments.

$T \gtrsim 0.3 \text{ MeV}$  : the lightest few nuclei in thermal equilibrium.

$T \sim 0.1 \text{ MeV}$  : neutrons bind into  ${}^4\text{He}$

$$X = \frac{2n}{n+p} \approx 22\% \text{ for } n/p = 1/7$$

accurate calculations  $\rightarrow$  computer.

• Photon decoupling

$$e^- \gamma \leftrightarrow e^- \gamma \text{ (Thomson scattering)}$$

$$\Gamma_{\text{int}} = n_e \sigma_T \leftarrow \text{Thomson cross section}$$

$\uparrow$   $\sigma_T = 6.65 \times 10^{-25} \text{ cm}^2$

$\leftarrow$  electron number density  
 $\leftarrow$  Equilibrium abundance of free electron  
 $p e^- \leftrightarrow H \gamma$  Hydrogen atom

$n_H, n_p, n_e, n_\gamma$  : # densities of H-atom, proton, free el.  $\gamma$

charge neutrality :  $n_p = n_e$

Baryon conservation :  $n_B = n_p + n_H$  (we neglect  $n_{\text{neutrons}}$  in  ${}^4\text{He}$ )

Equilibrium # density :  $n_i = g_i \left( \frac{m_i T}{2\pi} \right)^{3/2} e^{(\mu_i - m_i)/T}$

Due to  $p e^- \leftrightarrow H \gamma$ ,  $\mu_p + \mu_e = \mu_H$

$$n_H = g_H \left( \frac{m_H T}{2\pi} \right)^{3/2} e^{(\mu_H - m_H)/T}$$

$$n_p = g_p \left( \frac{m_p T}{2\pi} \right)^{3/2} e^{(\mu_p - m_p)/T}$$

$$n_e = g_e \left( \frac{m_e T}{2\pi} \right)^{3/2} e^{(\mu_e - m_e)/T}$$

$$\frac{n_H}{n_p n_e} = \frac{g_H}{g_p g_e} \left( \frac{2\pi}{T} \frac{m_H}{m_p m_e} \right)^{3/2} e^{\frac{-(\mu_H - \mu_p - \mu_e) - (m_H - m_p - m_e)}{T}} = -B = 13.6\text{eV}$$

$$n_H = \frac{g_H}{g_p g_e} n_p n_e \left( \frac{m_e T}{2\pi} \right)^{-3/2} e^{B/T}$$

$g_p = g_e = 2, g_H = 4, n_B = \eta n_r$   
 Fractional ionization  $X_e \equiv \frac{n_p}{n_B}$   
 $n_H = n_B - n_p, n_e = n_p$

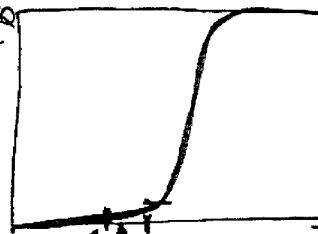
$$\frac{1 - n_p/n_B}{n_p^2/n_B^2} = n_B \left( \frac{2\pi}{m_e T} \right)^{3/2} e^{B/T} \frac{2\zeta(3)}{\pi^2} T^3$$

$$= \frac{1 - X_e^{E_0}}{(X_e^{E_0})^2} = \eta n_r \left( \frac{2\pi}{m_e T} \right)^{3/2} e^{B/T}$$

$$\frac{1 - X_e^{E_0}}{(X_e^{E_0})^2} = \frac{4\sqrt{2}\zeta(3)}{\sqrt{\pi}} \eta \left( \frac{T}{m_e} \right)^{3/2} e^{B/T}$$

$$\eta = (\Omega_B h^2) \times (2.68 \times 10^{-8}) X_e^{E_0}$$

$$T = (1+z) \times (2.7\text{K})$$



$\Gamma_{int}(ep \leftrightarrow Hr) \approx H$   
 for  $(1+z) > 1100$

freeze-in of free electron  
 Residual ionization fraction  $X_\infty \approx 3 \times 10^{-5} \Omega_0 / \Omega_B h^2$

Decoupling of photon

$$\Gamma_{int}(er \leftrightarrow \gamma r) = X_e \eta n_r \sigma_T \approx H \text{ for } z \approx 1100$$

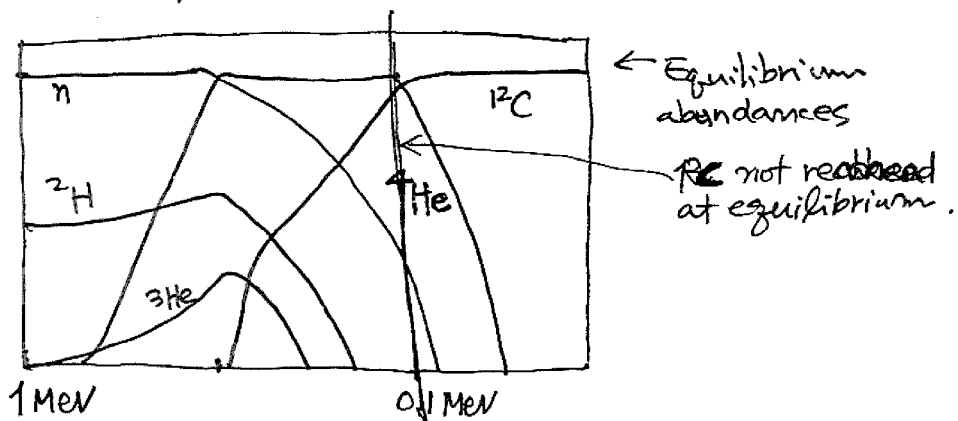
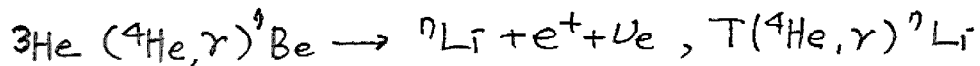
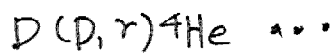
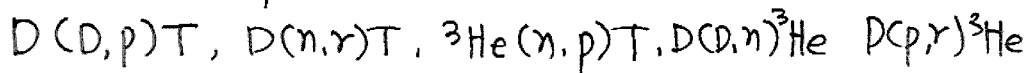
recombination,  $X_e = 0.1$   
 $T_{rec} \approx 0.3\text{eV} \ll B \approx 13.6\text{eV}$

• Nucleosynthesis continued.

Beginning of nucleosynthesis chain



$E_B = 2.2 \text{ MeV}$  but due to the smallness of  $\gamma$  deuterium production begins at  $T \sim 0.1 \text{ MeV}$



Dominant product of big bang nucleosynthesis is <sup>4</sup>He.

$$Y_p = \frac{2(n/p)}{1+(n/p)} \approx 0.25$$

General concordance between theoretical predictions and the observational data.

$\rightarrow 4.7 < \eta < 6.2$

$\Omega_{\text{B}h^2} = 0.017 - 0.023$

$\rightarrow N_\nu \lesssim 4.2$

## The Boltzmann equation

Most of the constituents of the universe were in thermal equilibrium.

But, Departure from T.E.  $\Rightarrow$  Important Relics

- Neutrino decoupling
- CMB decoupling
- Nucleosynthesis
- Inflation
- Baryogenesis
- Decoupling of relic WIMP, ...

Boltzmann equation

$$\hat{L}[f] = \hat{C}[f]$$

Liouville op.      Collision op.

- free streaming      - collision

← Distribution function

$$\frac{dn_a}{dt} + 3Hn_a = - \sum_{b \dots ij \dots} \int d\pi_a d\pi_b \dots d\pi_i d\pi_j \dots (2\pi)^4 \delta^4(p_a + p_b + \dots - p_i - p_j - \dots) \times [ |m|^2_{a+b \dots \rightarrow ij \dots} f_a f_b \dots (1 \pm f_a)(1 \pm f_j) \dots - |m|^2_{ij \dots \rightarrow a+b \dots} f_i f_j \dots (1 \pm f_a)(1 \pm f_b) \dots ]$$

Simplifying assumptions

- All but one species have equilibrium  $f^{EQ}$
- CP invariance :  $|m|^2_{a+b \rightarrow ij} = |m|^2_{ij \rightarrow a+b} \equiv |m|^2$
- MB statistics instead of FD or BE.

Annihilation process :  $\psi \bar{\psi} \leftrightarrow \chi \bar{\chi}$  in thermal equilibrium

$$\frac{dn_\psi}{dt} + 3Hn_\psi = - \langle \sigma(\psi \bar{\psi} \rightarrow \chi \bar{\chi}) v \rangle [n_\psi^2 - (n_\psi^{EQ})^2]$$



The same equation in terms of  $Y \equiv \frac{\eta}{S}$ ,  $x \equiv \frac{m}{T}$

$$\frac{dY}{dx} = - \left( \frac{\pi}{45G} \right)^{1/2} \frac{g_*^{1/2} m}{x^2} \langle \sigma v \rangle (Y^2 - Y_{EQ}^2)$$

or

$$\frac{x}{Y_{EQ}} \frac{dY}{dx} = - \frac{\Gamma}{H} \left[ \left( \frac{Y}{Y_{EQ}} \right)^2 - 1 \right]$$

Decoupling  $T_A(x_f) = H(x_f)$

Rough solution

$$Y(x) \approx Y_{EQ}(x) \quad \text{for } x \lesssim x_f$$

$$Y(x) \approx Y_{EQ}(x_f) \quad \text{for } x \gtrsim x_f$$

• Hot relic

$$x_f \ll 1 \quad (x_f \lesssim 3)$$

$$Y_0 = Y_{EQ}(x_f) = 0.278 \frac{g_{eff}}{g_*(x_f)}$$

$$\Omega_\psi h^2 = 7.83 \times 10^{-2} \left( \frac{g_{eff}}{g_*(x_f)} \right) \left( \frac{m_\psi}{eV} \right)$$

Example: light neutrino

$$T_f \sim 1 \text{ MeV}, \quad g_* = 10.75, \quad g_{eff} = \frac{3}{2}$$

$$\Omega_\nu h^2 = \left( \frac{m_\nu}{92eV} \right)$$

• Cold relic

$$x_f \gtrsim 3$$

$$\langle \sigma v \rangle \propto T^{-n} \rightarrow \sigma_0 x^{-n}$$

$$x_f = \ln \left[ 0.038 (n+1) \frac{g}{g_*^{1/2}} M_p m \sigma_0 \right] - (n + \frac{1}{2}) \ln \left\{ \ln \left[ 0.038 (n+1) \frac{g}{g_*^{1/2}} M_p m \sigma_0 \right] \right\}$$

$$Y_0 = \frac{3.09 (n+1) x_f^{n+1}}{(g_{*5}/g_*^{1/2}) M_p m \sigma_0}$$

Note: Simple solution,  $P(\chi_f) = H(\chi_f)$ ,  $Y_\infty = Y(\chi_f)$  gives good result.

Example

- Neutralino  $\rightarrow$  Dark matter.
- Relic baryons in baryon symmetric universe

$$\langle \sigma_{AD} \rangle = C_1 m_\pi^{-2}, \quad m_\pi = 135 \text{ MeV}, \quad C_1 \sim \mathcal{O}(1)$$

$$\chi_f \approx 42 + \ln C_1$$

$$T_f \approx 22 \text{ MeV}$$

$$Y_\infty \approx 7 \times 10^{-20} C_1^{-1}$$

Our universe has  $Y_B = \frac{n_B}{s} \approx \frac{\eta}{7} \sim (6-10) \times 10^{-11}$

$\Rightarrow$  Necessity of Baryon asymmetry

Prob. 8. Explain why the addition of new light neutrino species change the BBN results.

Prob. 9 Explain why the recombination occurs at a temperature much lower than the Hydrogen binding energy.

## Baryogenesis

- observed baryon asymmetry

Nucleosynthesis :  $\eta \approx (4-7) \times 10^{-10}$

- Baryogenesis problem

How this curious number  $\eta$  could arise dynamically in a universe that is initially baryon symmetric or possibly even irrespective of any initial baryon asymmetry present?

- 3 basic ingredients

①  $B$

• If  $B$  is conserved, the present  $B$  asymmetry is just asymmetric initial condition

②  $C, CP$

• If  $C$  &  $CP$  conserved,  $B$  will produce  $B$  and  $\bar{B}$  excesses at the same rate.

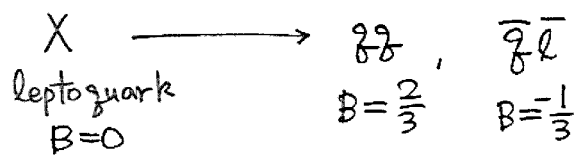
③ Non-equilibrium

• In Equilibrium,  $B$  interaction forces  $\eta_B = \eta_{\bar{B}}$ .

SM :  $B, C, CP, EWPT$

GUT :  $B, C, CP, \text{Out of Equil. decay.}$

GUT illustration



particle	final state	BR	B
$X$	$q\bar{q}$	$r$	$2/3$
$X$	$\bar{q}l$	$1-r$	$-1/3$
$\bar{X}$	$\bar{q}\bar{q}$	$\bar{r}$	$-2/3$
$\bar{X}$	$q\bar{l}$	$1-\bar{r}$	$1/3$

$$\text{CPT : } \begin{cases} m_X = m_{\bar{X}} \\ \Gamma_X = \Gamma_{\bar{X}} \end{cases} \quad C, CP : r \neq \bar{r}$$

symmetric initial condition  $\eta_X = \eta_{\bar{X}}$

OEQ:  $X, \bar{X}$  froze out.

Net baryon number per decay of  $X, \bar{X}$  pair

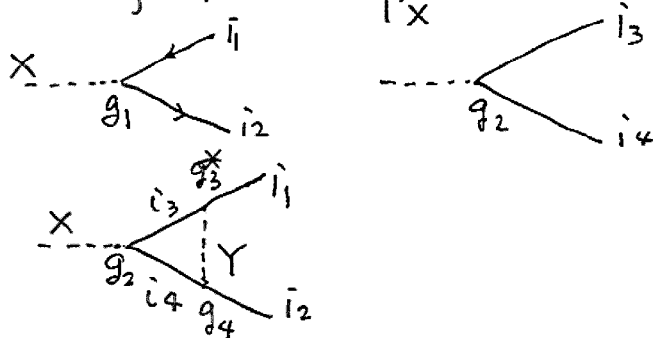
$$\epsilon \equiv B_X + B_{\bar{X}} = n - \bar{n} \quad \left( \frac{2}{3}n - \frac{1}{3}(1-n) \right) + \left( -\frac{2}{3}\bar{n} + \frac{1}{3}(1-\bar{n}) \right)$$

$$\eta_B = \epsilon \eta_X \rightarrow \frac{\eta_B}{s} \sim \frac{\epsilon \eta_X}{g_X \eta_X} \sim \frac{\epsilon}{g_X}$$

Toy model

$$\mathcal{L} = g_1 X i_2^\dagger i_1 + g_2 X i_4^\dagger i_3 + g_3 Y i_1^\dagger i_3 + g_4 Y i_2^\dagger i_4$$

$$\epsilon_X = \frac{\sum_f B_f}{\Gamma_X} \frac{\Gamma(X \rightarrow f) - \Gamma(\bar{X} \rightarrow \bar{f})}{\Gamma_X}$$



$$m = g_1 \bigcirc + g_2 g_3^* g_4 \text{ (loop integral)}$$

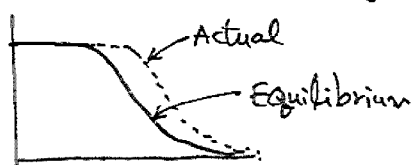
$$\Gamma(X \rightarrow \bar{i}_1 i_2) = |g_1|^2 I_X + \frac{g_1 g_2^* g_3 g_4^*}{\Delta} I_{XY} + \text{h.c.} + |g_2^* g_3^* g_4|^2 \bigcirc$$

$$\Gamma(\bar{X} \rightarrow i_1 \bar{i}_2) = |g_1^*|^2 I_{\bar{X}} + g_1^* g_2 g_3^* g_4 I_{X\bar{Y}} + \text{h.c.}$$

$$\Gamma(X \rightarrow \bar{i}_1 i_2) - \Gamma(\bar{X} \rightarrow i_1 \bar{i}_2) = 4 \text{Im} I_{XY} \text{Im} (g_1^* g_2 g_3^* g_4)$$

$$\epsilon_X = \frac{4}{\Gamma_X} \text{Im} I_{XY} \frac{\text{Im} (g_1^* g_2 g_3^* g_4)}{\cancel{g_1 g_2 g_3 g_4}} \underbrace{[B_{i_4} - B_{i_3} - B_{i_2} + B_{i_1}]}_{\beta}$$

Departure from thermal equilibrium.



$$K = \left( \frac{T_D}{2H} \right)_{T=m_X} = \frac{\alpha M p}{3.3 g_X^{1/2} m_X}$$

- Electroweak baryogenesis.

①  $\mathcal{B}$  : SU(2) instanton effect.

②  $\mathcal{C}$  &  $\mathcal{CP}$  : CKM seems too weak, need new source of  $\mathcal{CP}$

③  $E \neq 0$  : 1st order EW phase transition

Baryon production near bubble walls

SM : ruled out

MSSM : marginal

- Affleck-Dine mechanism

- Leptogenesis

Lepton asymmetry  $\xrightarrow{\text{Sphaleron}}$  Baryon asymmetry.  
B-L

Note: Sphaleron process erase the baryon asymmetry previously produced in thermal equilibrium.

Prob. 10. Discuss about the observational evidences of baryon asymmetry of our universe.

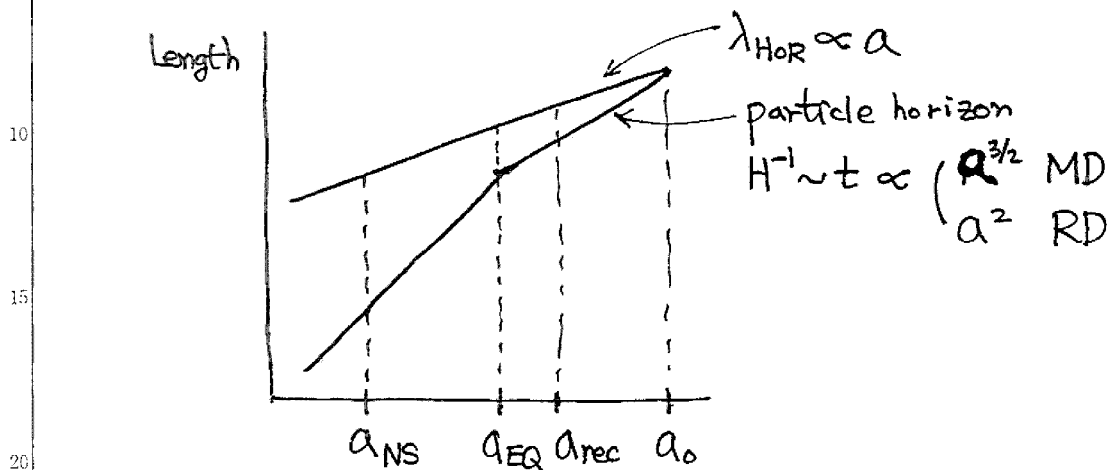
Prob 11. Explain briefly the idea of leptogenesis.

What is the physics responsible for it.

Do we have any hints from particle physics that may support it?

## Shortcomings of Big Bang Cosmology

- Large scale smoothness - The horizon problem.



The size of particle horizon  $d_H \sim t$ .

The entropy within a horizon volume

$$S_{HOR} = \frac{4\pi}{3} d_H^3 s \approx \begin{cases} 0.05 g_*^{-1/2} \left(\frac{M_p}{T}\right)^3 & \text{RD} \\ 3 \times 10^{87} (\Omega_0 h^2)^{-3/2} (1+z)^{-3/2} & \text{MD} \end{cases}$$

$$S_{HOR}(t=t_0) \approx 10^{88}$$

$$S_{HOR}(t=t_{rec}) \approx 10^{83} \rightarrow 10^5 \text{ Hubble volumes}$$

$$S_{HOR}(t=t_{NS}) \approx 10^{63} \rightarrow 10^{25} \text{ Hubble volumes}$$

The present Hubble volume consists of  $10^5$  causally disconnected region at recombination

$H^{-1}(t_{rec}) \rightarrow 0.8^\circ$  on the sky today

But CMB is smooth for  $10'' - 180^\circ$ .

• Small scale inhomogeneity

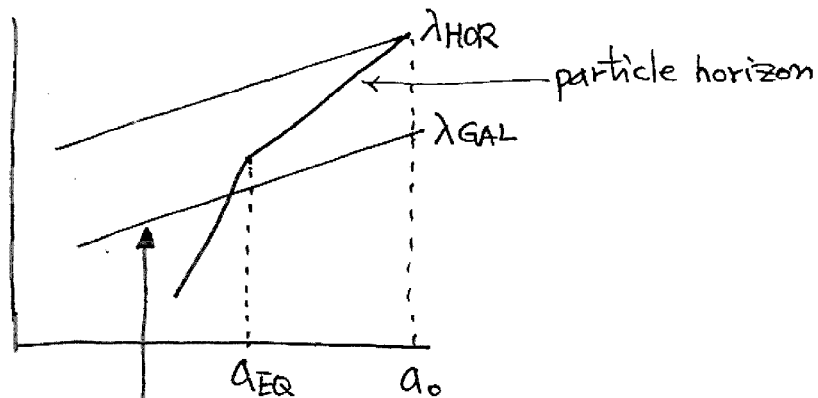
- very smooth on large scales
  - plethora of structure on smaller scales  
galaxies, clusters, voids, sheets, superclusters
- 1 Mpc  100 Mpc

small, primeval inhomogeneities

↓ Matter dominated  
Gravitational instability

large scale structures, CMB anisotropy

$$\frac{\Delta T}{T} \propto \frac{\delta \rho}{\rho} \approx 10^{-5}$$



Causal microphysical processes cannot make  $(\frac{\delta \rho}{\rho})_{\lambda_{GAL}}$  because  $\lambda_{GAL}$  is outside the horizon

- Spatial flatness, oldness

physical radius of curvature  $R_{cur} = a(t) |k|^{-1/2} = \frac{H^{-1}}{|\Omega-1|^{1/2}}$

$\Omega$  as a function of  $a$

$$\Omega - 1 = \frac{k}{H^2 a^2} \propto \frac{1}{\rho a} \propto \begin{cases} a, & \text{MD} \\ a^2, & \text{RD} \end{cases}$$

$$|\Omega(1\text{sec}) - 1| \lesssim 10^{-16}, \quad R_{cur}(1\text{sec}) \gtrsim 10^8 H^{-1}$$

$$|\Omega(10^{-43}\text{sec}) - 1| \lesssim 10^{-60}, \quad R_{cur}(10^{-43}\text{sec}) \gtrsim 10^{30} H^{-1}$$

Big Bang universe requires very special initial condition. (at Planck time).

Note: If  $\Omega \sim 1$ ,  $R_{cur} \sim H^{-1}$  at planck time

$k > 0$ : recollapse within few  $\times 10^{-43}$  sec

$k < 0$ : BK reached at  $t = 10^{-11}$  sec

Note: The natural time scale for cosmology is Planck time =  $10^{-43}$  sec.

But our FRW universe age  $\sim 10^{60} t_p$ .

- Cosmological constant.

$$T_{\mu\nu} = \Lambda g_{\mu\nu}$$

Eq. of state  $w = \frac{p}{\rho} = -1$ ,  $\Lambda = P_{vac}$ .

→ de Sitter solution

$$a(t) \propto e^{Ht}, \quad H = \left(\frac{k^2}{3} P_{vac}\right)^{1/2} = \text{const.}$$

Observation:  $P_{vac} \sim \rho_c \sim (3 \times 10^3 \text{ eV})^4$

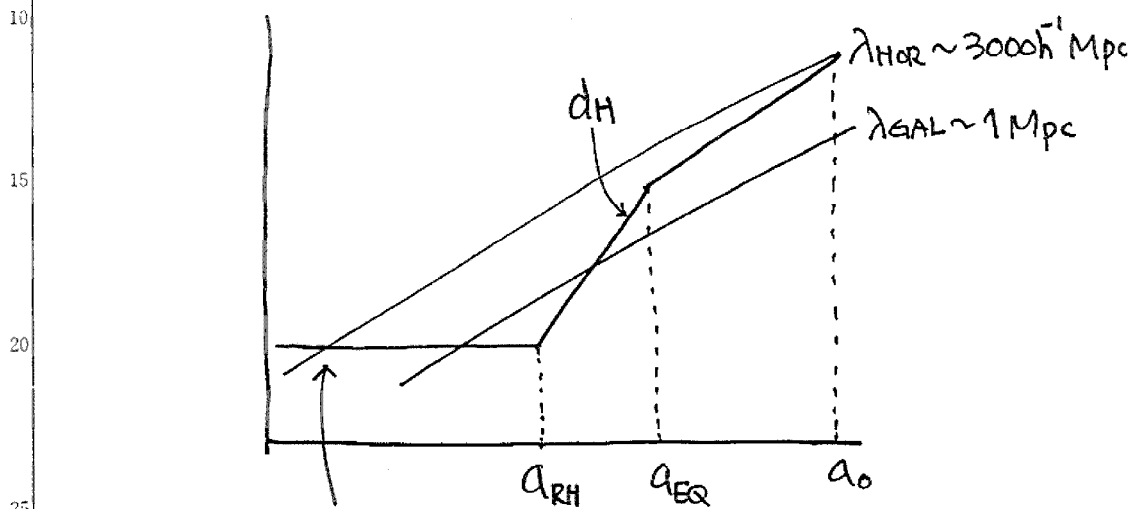
Cosmological constant problem: The smallness of the present value of vacuum energy relative to any fundamental scales in physics.  $P_{vac} \sim M_p^4 \sim 10^{72} \rho_c$



• Inflation - Basic picture

There was an epoch when the vacuum energy was the dominant component of the energy density.

→ Scale factor grows exponentially



$\lambda_{HOR}$ , causally connected → Horizon problem is solved.

$$\Omega - 1 = \frac{1}{\rho a^2} = |\Omega_{i-1}| e^{-2Ht}$$

→ 0 as  $t \rightarrow \infty$ . Solution to flatness problem.

• Scalar field dynamics

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

Homogeneous scalar field

$$T^{\mu\nu} = \partial^\mu \phi \partial^\nu \phi - g^{\mu\nu} \mathcal{L}$$

$$\rho_\phi = \frac{1}{2} \dot{\phi}^2 + V(\phi) + \frac{(\nabla\phi)^2}{2a^2}$$

$$p_\phi = \frac{1}{2} \dot{\phi}^2 - V(\phi) - \frac{(\nabla\phi)^2}{6a^2}$$

rapidly damped as  $a \sim e^{Ht}$ .

The classical equation of motion

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0, \quad H^2 = \frac{\kappa^2}{3} \left[ \frac{1}{2}\dot{\phi}^2 + V(\phi) \right]$$

① Slow roll regime

$3H\dot{\phi}$  dominate,  $\phi$  rolls at terminal velocity.

② Rapid oscillation regime

Damped harmonic oscillator

①:  $3H\dot{\phi} = -V'(\phi), \quad H^2 = \frac{\kappa^2}{3} V(\phi)$

Consistency condition

$$\frac{1}{2}\dot{\phi}^2 \ll V(\phi) \rightarrow \frac{1}{2} \left( \frac{V'}{3H} \right)^2 \ll V, \quad \frac{1}{2} \frac{V'^2}{9 \frac{\kappa^2}{3M_p^2} V} \ll V$$

$$\left( \frac{M_p V'}{V} \right)^2 \ll 48\pi$$

$$\epsilon(\phi) \equiv \left| \frac{M_p V'(\phi)}{(48\pi)^{1/2} V(\phi)} \right| \ll 1$$

Vacuum energy dominates.

$$\ddot{\phi} \ll 3H\dot{\phi} \rightarrow 3H\ddot{\phi} + 3\dot{H}\dot{\phi} = -V''\dot{\phi}$$

$$\ddot{\phi} = -\frac{3\dot{H} + V''}{3H} \dot{\phi} \ll 3H\dot{\phi}$$

$$3\dot{H} + V''(\phi) \ll 9H^2 = 24\pi V/M_p^2$$

$$\eta(\phi) \equiv \left| \frac{M_p^2 V''(\phi)}{(24\pi) V(\phi)} \right| \ll 1$$

Friction dominates.  
→ enough inflation

The number of e-fold

$$\begin{aligned} \ln(a_2/a_1) &\equiv N(\phi_1 \rightarrow \phi_2) \equiv \int_{t_1}^{t_2} H dt \\ &= \int_{\phi_1}^{\phi_2} H \frac{d\phi}{\dot{\phi}} = \int_{\phi_1}^{\phi_2} -\frac{3H^2}{V'(\phi)} d\phi \\ &= -\frac{\kappa^2}{M_p^2} \int_{\phi_1}^{\phi_2} \frac{V(\phi)}{V'(\phi)} d\phi \end{aligned}$$

## Reheating

### • Basic picture

① After inflation, the inflaton field begins to oscillate about the true vacuum state.

↳ QM: A coherent state of  $k=0$  inflaton particles

② Through the interaction with other particles, the coherent state will decay into quanta of elementary particles

③ Produced particles rapidly thermalize.

### • Toy model

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{4} \lambda (\phi^2 - \sigma^2)^2 + \frac{1}{2} \partial_\mu \chi \partial^\mu \chi + \underbrace{\frac{1}{2} g^2 \phi^2 \chi^2}_{\text{Interaction}}$$

$$m_\phi = \lambda^{1/2} \sigma$$

$$\Gamma_\phi = \frac{g^2 \sigma^2}{8\pi m_\phi^2}$$

$$\ddot{\phi} + 3H\dot{\phi} + \Gamma_\phi \dot{\phi} + V'(\phi) = 0.$$

$H > \Gamma_\phi$  : particle production is negligible

$H \approx \Gamma_\phi$  : Reheating starts, energy density of  $\phi$  is transferred to  $\chi$ .

Reheating temperature

$$T_R \approx (\Gamma_\phi M_p)^{1/2}$$

E.g.  $g^2 \sim \lambda \lesssim 10^{-12} \rightarrow T_R \lesssim 10^{10} \text{ GeV}$

• Modern theory of reheating

An essential point is missing in the above analysis.  
 Look at  $\chi$  equation

$$\ddot{\chi} + 3H\dot{\chi} - \left[ \left(\frac{\nabla}{a}\right)^2 - m_\chi^2 - 2g^2\sigma\phi \right] \chi = 0.$$

$$\ddot{\chi}_k + 3H\dot{\chi}_k + \left[ k_p^2 + m_\chi^2 + 2g^2\sigma\phi \right] \chi_k = 0.$$

$\phi$  is oscillating

$\Rightarrow$  parametric resonance.

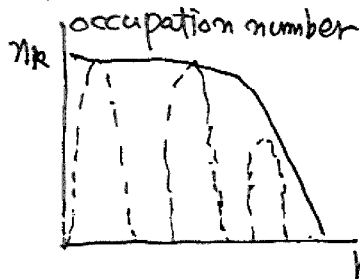
Creation of  $\chi$  quanta

Without expansion  $\omega_k^2 = k_p^2 + m_\chi^2 = \left(\frac{\eta}{2}\omega\right)^2, \eta=1, 2, \dots$

instability band

$$\Delta\omega_k \sim g\sigma^{1/2}\phi_0^{1/2}$$

Expansion  $\rightarrow \omega_k$  becomes time-dependent.



$\Rightarrow$  explosive production of  $\chi$   
 "preheating"

Note: Generically, reheating in chaotic inflation models are explosive.

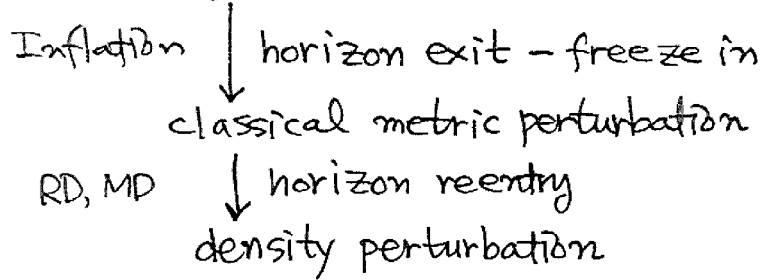
Note: The state of  $\chi$  after parametric resonance is not a thermal state.

• The amount of inflation required to solve the horizon and flatness problem.

$$N_{Tot} \gtrsim 53 + \frac{2}{3} \ln\left(\frac{M}{10^{14} \text{ GeV}}\right) + \frac{1}{3} \ln\left(\frac{T_R}{10^{10} \text{ GeV}}\right) + \frac{1}{2} \ln|\Omega_i - 1| \sim 60$$

- Classical density perturbation from quantum fluctuations in the de Sitter phase of an inflationary universe.

sub horizon size, quantum fluctuations



Note: The number of e-folds between horizon crossing and the end of inflation.

$$N_\lambda = 45 + \ln\left(\frac{\lambda}{\text{Mpc}}\right) + \frac{1}{3} \ln\left(\frac{M}{10^{14} \text{ GeV}}\right) + \frac{1}{3} \ln\left(\frac{T_R}{10^{16} \text{ GeV}}\right)$$

During the slow roll:  $|V''| \ll H^2 \rightarrow \phi$  is massless  
 QM fluctuations of massless, minimally coupled scalar field in dS space

$$(\Delta\phi)_k^2 \equiv \frac{k^3 |\delta\phi_k|^2}{2\pi^2 V} = \left(\frac{H}{2\pi}\right)^2, \quad \delta\phi_k = \int d^3x e^{i\vec{k}\cdot\vec{x}} \delta\phi(x)$$

Evolution of  $\delta\phi_k$  with  $k \ll aH$  (superhorizon size)

$$\delta\ddot{\phi}_k + 3H\delta\dot{\phi}_k + k^2 \delta\phi_k/a^2 = 0 \rightarrow \delta\phi_k = \text{const.}$$

$$\delta\phi \rightarrow \underline{\delta p_\phi} = V'(\phi) \delta\phi$$

Density perturbation

Evolution of density perturbation,  $\zeta = \frac{\delta p}{p+p}$

For superhorizon size  $\zeta = \text{const.}$

At horizon reentry  $\zeta \sim \delta p/p$

$$\left(\frac{\delta p}{p}\right)_{\text{Hor Reentry}} = \zeta_\lambda = \left(\frac{\delta\phi V'}{\dot{\phi}^2}\right)_\lambda \approx \left(\frac{H^2}{\dot{\phi}^2}\right)_\lambda$$

$p+p \approx \dot{\phi}^2$  during inflation

During inflation,  $H, \phi$  vary very slowly  
 → scale invariant spectrum (Harrison-Zeldovich)  
 Nature of quantum fluctuation — Gaussian.

Note: Amplitude

$$\left(\frac{\delta\rho}{\rho}\right)_\lambda \sim \left(\frac{H^2}{\dot{\phi}}\right)_\lambda \lesssim 10^{-5} \text{ from CMB.}$$

→ Most restrictive constraint on inflationary potential.

E.g. chaotic inflation

$$V(\phi) = \frac{1}{2} m^2 \phi^2 \rightarrow m \sim 10^{13} \text{ GeV}$$

$$V(\phi) = \frac{1}{4} \lambda \phi^4 \rightarrow \lambda \sim 10^{-12}$$

• Gravitational instability — Newtonian theory

Density perturbation produced from inflation grows after MD → large scale structures.

From  $\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot (\rho \vec{v}) = 0$

$$\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \vec{\nabla}) \vec{v} + \frac{1}{\rho} \vec{\nabla} p + \vec{\nabla} \phi = 0$$

$$\nabla^2 \phi = 4\pi G \rho$$

Equation for  $\delta\rho/\rho \equiv \delta$

$$\ddot{\delta}_k + 2\frac{\dot{a}}{a} \dot{\delta}_k + \left[ \frac{c_s^2 k^2}{a^2} - 4\pi G \rho \right] \delta_k = 0.$$

$$c_s^2 = \frac{\partial p}{\partial \rho} \text{ speed of sound}$$

$$\lambda_J = \frac{2\pi}{k_J}, \quad k_J = \left( \frac{4\pi G \rho}{c_s^2} \right)^{1/2}. \quad \left( \begin{array}{l} \lambda < \lambda_J: \text{oscillating} \\ \lambda > \lambda_J: \text{growing} \end{array} \right)$$

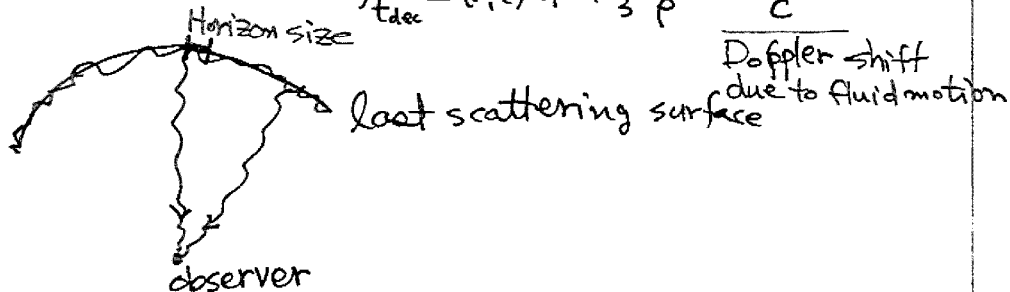
(MD :  $c_s \approx 0$   
 (RD :  $c_s \approx \mathcal{O}(1)$ )

### • CMB anisotropy

what determines CMB?

- ① Gravity — Gravitational red/blue shift
- ② pressure — Acoustic oscillation
- ③ Velocity of baryons — Doppler shift  
fluid.

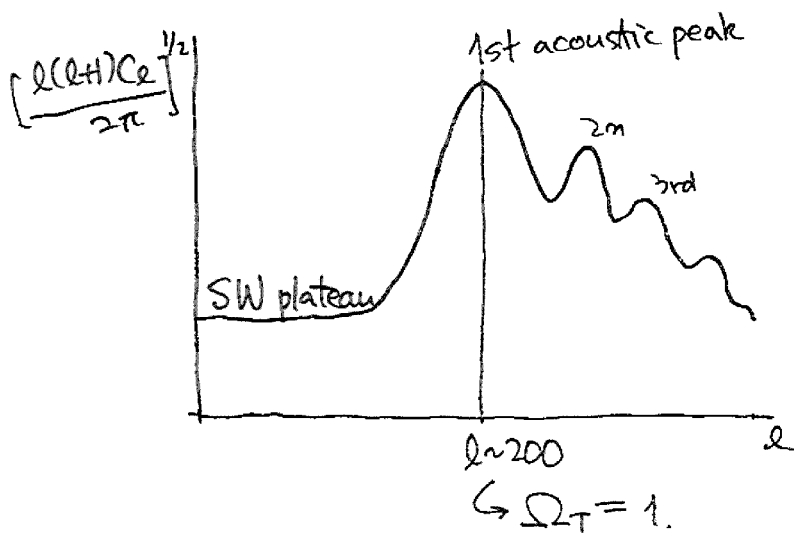
$$\frac{\delta T}{T}(\vec{n}) = \Phi(\vec{n}, t_{dec}) + 2 \int_{t_{dec}}^{t_0} \dot{\Phi}(\vec{n}, t) dt + \frac{1}{3} \frac{\delta \rho}{\rho} - \frac{\vec{n} \cdot \vec{v}}{c}$$



Sachs-Wolfe effect

$$\frac{l(l+1)C_l^{(S)}}{2\pi} = \frac{A_S^2}{25}, \dots$$

scale larger than horizon size at last scattering  
Sachs-Wolfe effect dominate.  
Below horizon size — acoustic oscillation.



• Summary

The history of the universe up to BBN is firmly established once the proper initial conditions are set.

① Thermal bath,  $T \gtrsim 10 \text{ MeV}$

Homogeneous & isotropic / Small density perturbation.

② Matter content

Baryon  $\eta = (4-7) \times 10^{-10}$

Dark matter

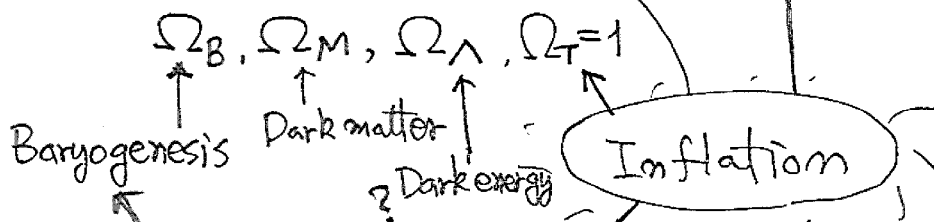
Dark energy

Today:   
 - Expansion of the universe -   
 - Light element abundances\*  $\Omega_\Lambda, \Omega_M, \Omega_B$    
 - CMB\*

CMB anisotropy   
 Large scale structure

BIG BANG UNIVERSE

In the beginning: Thermal bath,  $T \gtrsim 10 \text{ MeV}$ , small seed density perturbation



5 10 15 20 25 30